

TRANSVERSE Λ POLARIZATION IN SEMI-INCLUSIVE DIS

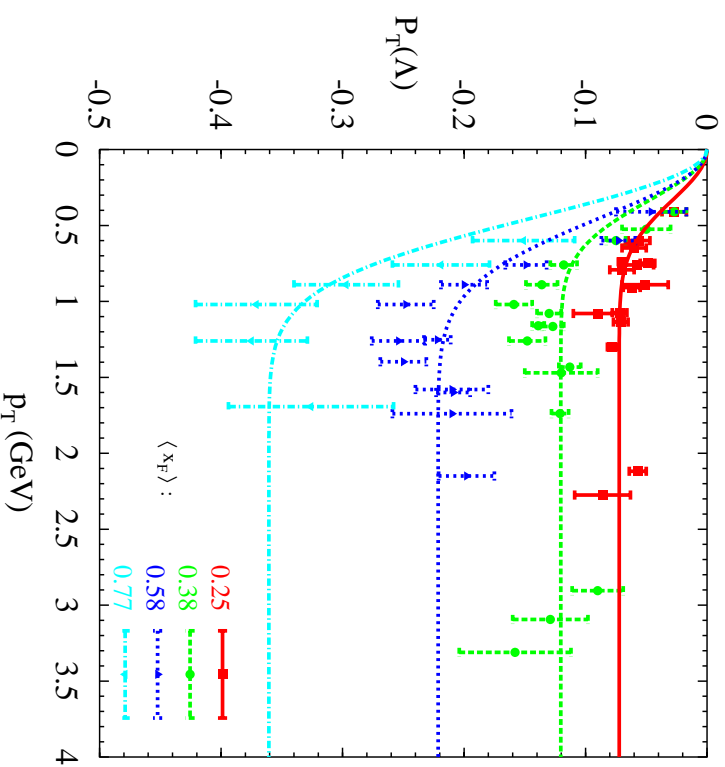
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Outline:

- Transverse Λ polarization in unpolarized hadron collisions, $pp(A) \rightarrow \Lambda^\uparrow X$;
- The *polarizing fragmentation funct.* $\Delta^N D_{\Lambda^\uparrow/q}(z, k_T) = D_{\Lambda^\uparrow/q} - D_{\uparrow T}^\perp$: a simple (gaussian) model for its explicit k_T - dependence;
- Applications: (preliminary) predictions for transv. Λ polarization in unpolarized (NC, CC) semi-inclusive DIS: $\ell(\nu) p \rightarrow \ell' \Lambda^\uparrow X$, $\nu p \rightarrow \nu \Lambda^\uparrow X$;
- $P_T(\Lambda)$ with transversely polarized protons: access to $\Delta_T q(x)$ [$h_1, \delta q$];
- Conclusions and outlook;

Refs : M. Anselmino, D. Boer, UD, F. Murgia, PRD63 (2001) 054029; work in progress

p_A - data: main features



A partial collection of experimental data for $P_T(\Lambda)$ in $pp(Be) \rightarrow \Lambda^\dagger X$ vs. p_T and for different bins of x_F . The curves are just to guide the eye.

$$|P_T(\Lambda)|:$$

- is LARGE
- increases up to $p_T \sim 1$ GeV, where it flattens up to the highest measured p_T
- in this plateau regime increases linearly with x_F

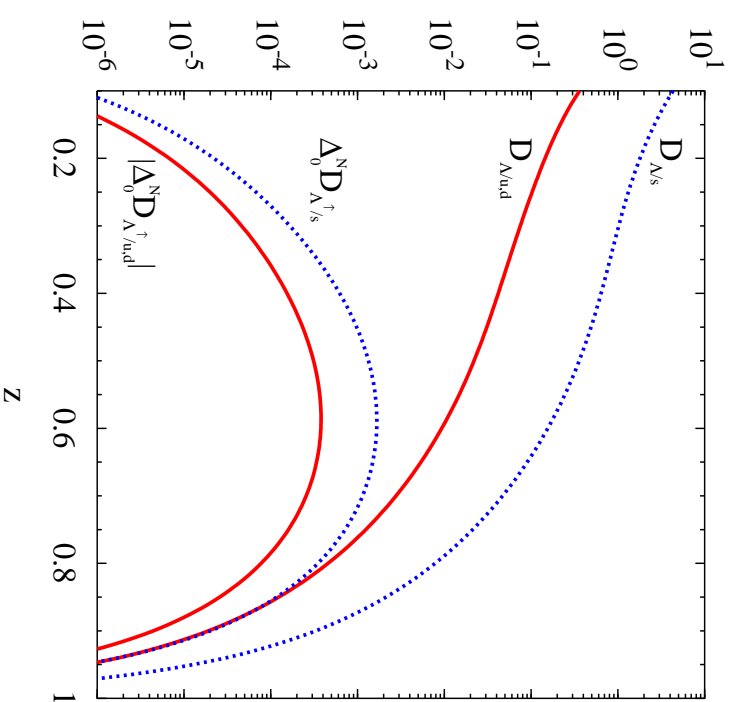
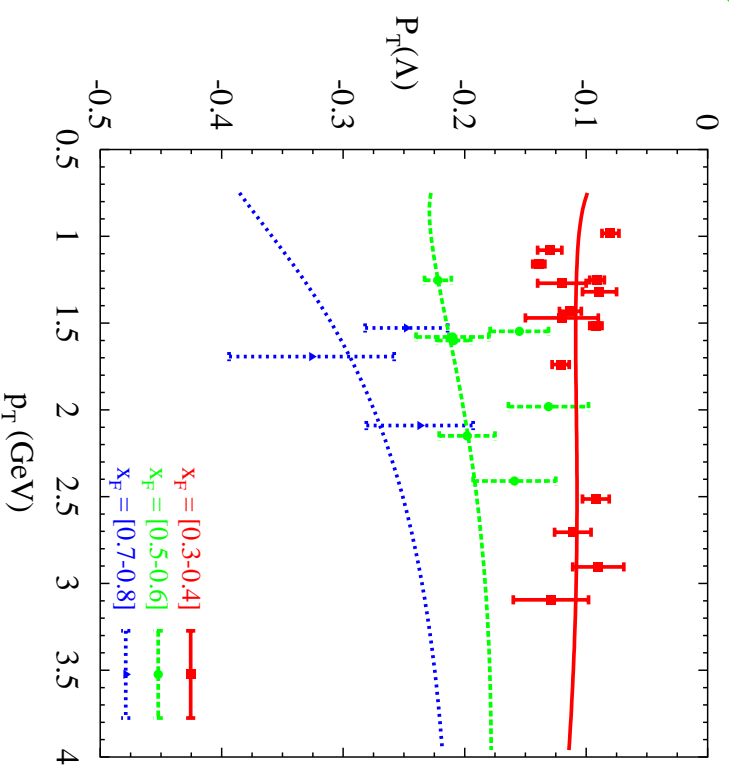
$P_T(\bar{\Lambda})$ is compatible with zero

$P_T(\Lambda) \text{ in } p p(\Lambda) \rightarrow \Lambda^\uparrow X$

$$\begin{aligned}
 P_T^\Lambda(x_F, p_T) &= \frac{d\sigma^{p p \rightarrow \Lambda^\uparrow X} - d\sigma^{p p \rightarrow \Lambda^\downarrow X}}{d\sigma^{p p \rightarrow \Lambda^\uparrow X} + d\sigma^{p p \rightarrow \Lambda^\downarrow X}} \\
 &= \frac{\int dx_a dx_b \int d^2 k_{\perp e} f_{a/p}(x_a) f_{b/p}(x_b) d\hat{\sigma}(x_a, x_b; \mathbf{k}_{\perp e}) \Delta^N D_{\Lambda^\uparrow/e}(z, \mathbf{k}_{\perp e})}{\int dx_a dx_b \int d^2 k_{\perp e} f_{a/p}(x_a) f_{b/p}(x_b) d\hat{\sigma}(x_a, x_b; \mathbf{k}_{\perp e}) D_{\Lambda/e}(z, \mathbf{k}_{\perp e})}
 \end{aligned}$$

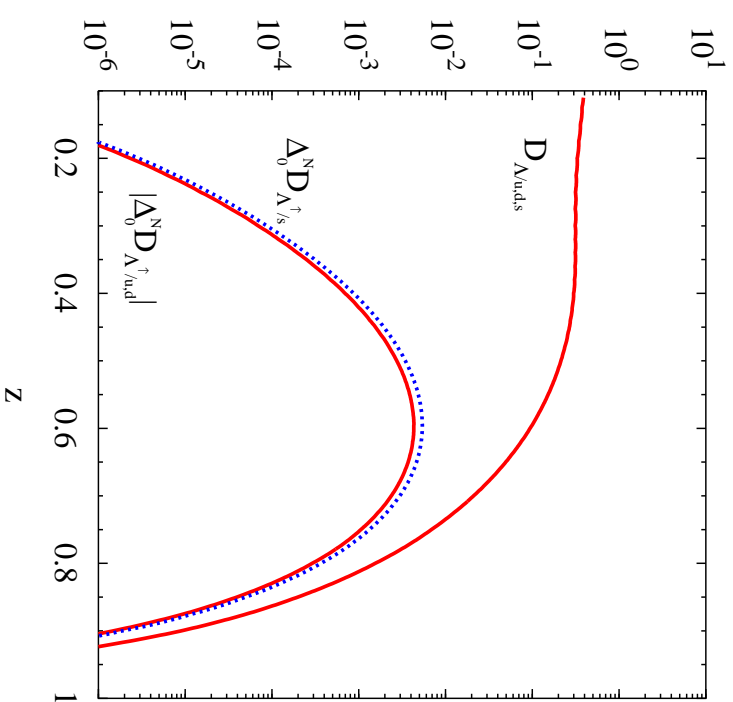
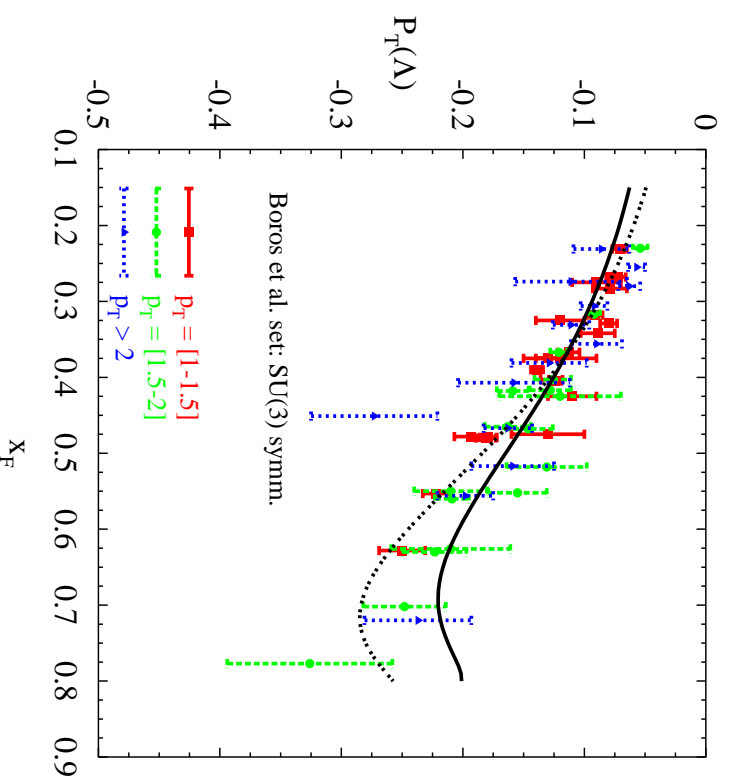
- Λ polarization entirely generated in the fragm. process, $q \rightarrow \Lambda^\uparrow + X$;
- Effective, inclusive Λ PFF (consistent with available sets of unpol. FF);
- $\int d^2 k_{\perp} F(\mathbf{k}_{\perp}) \Rightarrow F(k_{\perp}^0)$, where $k_{\perp}^0(z) \sim K z^a (1-z)^b$;
- Fit to exp. data \Rightarrow parameterizations for $k_{\perp}^0(z)$ and $\Delta^N D_{\Lambda^\uparrow/q_o}(z, k_{\perp}^0)$;
 e.g. $k_{\perp}^0(z) = 0.66z^{0.32}(1-z)^{0.5}$

[Indumathi *et al.* and Boros *et al.* sets for unpol. FF];



Best fit, obtained with a $SU(3)$ symmetry broken FF set (see plot on the right), to $P_T(\Lambda)$ data from $p - Be$ reactions, vs. p_T and for various x_F bins, at the c.m. energy $\sqrt{s} = 80$ GeV.

$|\Delta_0^N D_{\Lambda^\uparrow/u,d}^N|$ and $\Delta_0^N D_{\Lambda^\uparrow/s}^N$, as given by our best fit parameters, compared to the Indumathi *et al.* unpolarized FF, $D_{\Lambda/u,d}$ and $D_{\Lambda/s}$. Notice that $\Delta_0^N D_{\Lambda^\uparrow/u,d}^N$ is negative.



Best fit, obtained with a $SU(3)$ symmetric FF set (see plot on the right), to $P_T(\Lambda)$ data from $p - Be$ reactions, vs. x_F and for various p_T bins, at $\sqrt{s} = 80$ GeV. The two theoretical curves correspond to $p_T = 1.5$ GeV (dotted) and $p_T = 3$ GeV (solid).

$|\Delta_0^N D_{\Lambda^\dagger/u,d}^N|$ and $\Delta_0^N D_{\Lambda^\dagger/s}^N$, as given by our best fit parameters, compared to the Boros *et al.* unpolarized FF, $D_{\Lambda/u,d} = D_{\Lambda/s}$. Notice that $\Delta_0^N D_{\Lambda^\dagger/u,d}^N$ is negative.

$D_{\Lambda/q}(z, \mathbf{k}_\perp)$ and $\Delta^N D_{\Lambda^\uparrow/q}(z, \mathbf{k}_\perp)$: gaussian parameterizations

- Define: $D_{\Lambda/q}(z, \mathbf{k}_\perp) = \frac{d(z)}{M^2} \exp\left[-\frac{k_\perp^2}{M^2 f(z)}\right]$;
- $\Delta^N D_{\Lambda^\uparrow/q}(z, \mathbf{k}_\perp) = \frac{\delta(z)}{M^2} \frac{k_\perp}{M} \exp\left[-\frac{k_\perp^2}{M^2 \varphi(z)}\right] \sin \phi$;

where ϕ is the angle between the spin and \mathbf{k}_\perp of Λ

- Require:
 - ▶ $\int d^2 k_\perp [k_\perp^2] D_{\Lambda/q}(z, k_\perp) = \langle [k_\perp^2(z)] \rangle D_{\Lambda/q}(z) \Rightarrow$ fix $d(z), f(z)$;
 - ▶ $|\Delta^N D_{\Lambda^\uparrow/q}(z, \mathbf{k}_\perp)| / D_{\Lambda/q}(z, k_\perp) \leq 1$, $\forall z$ and \mathbf{k}_\perp ; $\Rightarrow \varphi(z) < f(z)$;
 - ▶ + Consistency with approx.s and parameterizations adopted for $p p \rightarrow \Lambda^\uparrow + X$;

$$\int d^2 k_\perp F(\mathbf{k}_\perp) \simeq F(k_\perp^0) \Rightarrow \begin{array}{l} k_\perp^0(z) \Leftrightarrow f(z), \varphi(z) \\ \Delta_0^N D_{\Lambda^\uparrow/q}(z, k_\perp^0) \Leftrightarrow \delta(z) \end{array}$$

More precisely we have:

- $D_{\Lambda/q}(z, k_{\perp}) = \frac{D_{\Lambda/q}(z)}{2\pi [k_{\perp}^0(z)]^2} \exp \left[-\frac{k_{\perp}^2}{2[k_{\perp}^0(z)]^2} \right];$

- $\Delta^N D_{\Lambda^\uparrow/q_v}(z, k_{\perp}) = \Delta_0^N D_{\Lambda^\uparrow/q_v}(z, k_{\perp}^0) \frac{2}{\sqrt{\pi}} \frac{k_{\perp}}{[k_{\perp}^0(z)]^3} \exp \left[-\frac{k_{\perp}^2}{[k_{\perp}^0(z)]^2} \right];$

▶ The factor 2 comes from consistency with the approach in pA case;

▶ Simple relation between our “effective” $k_{\perp}^0(z)$ and the physical $\langle k_{\perp}^2(z) \rangle$ of the Λ inside the jet:

$$\langle k_{\perp}^2(z) \rangle = 2 [k_{\perp}^0(z)]^2$$

▶ Positivity constraint reads:

$$|\Delta_0^N D_{\Lambda^\uparrow/q_v}(z, k_{\perp}^0)| / [D_{\Lambda/q}(z)/2] \leq \frac{\sqrt{e}}{2\sqrt{\pi}} \simeq 0.465$$

[fulfilled by the original parameterizations obtained in $pA \rightarrow \Lambda^\uparrow X$]

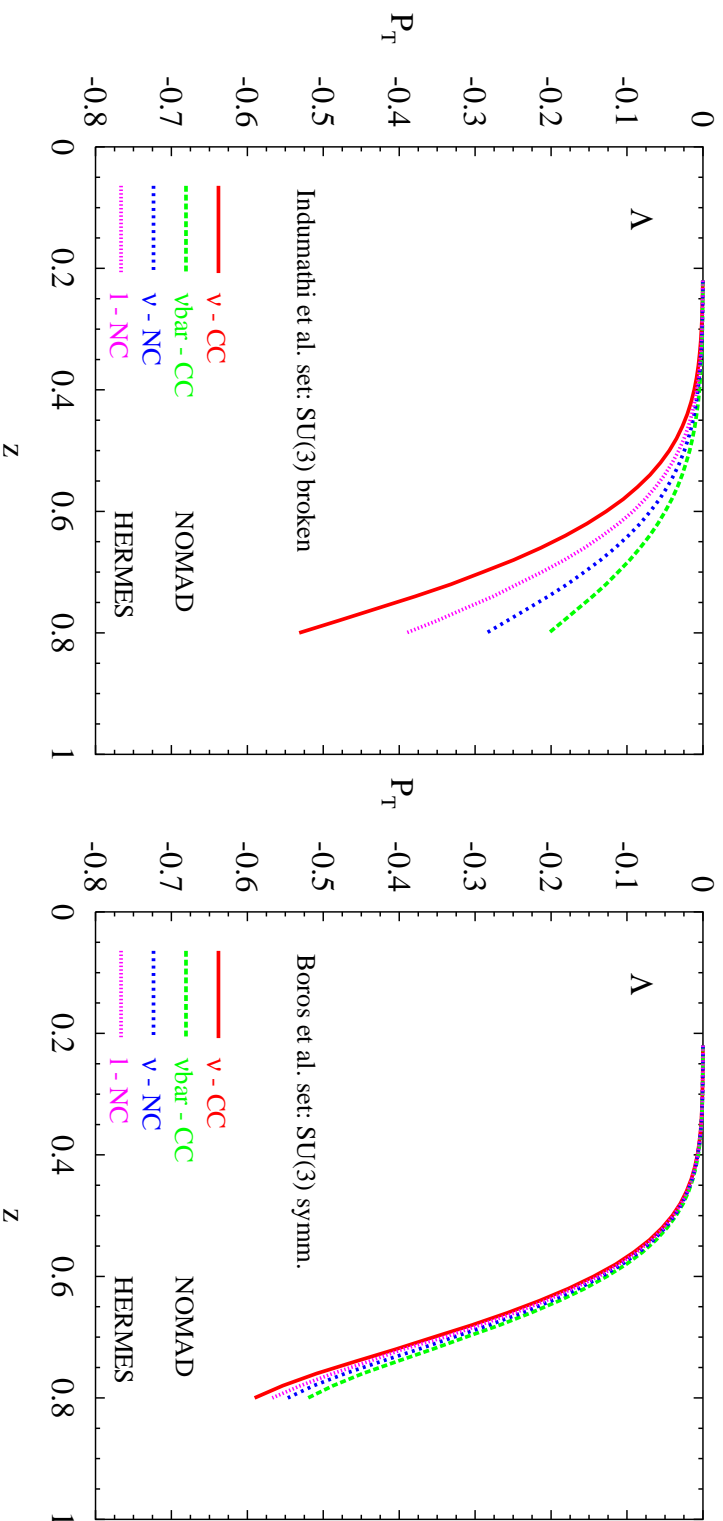
$P_T^\Lambda(x, y, z, \mathbf{p}_T)$ in unpolarized semi-inclusive DIS ($x_F > 0$)

In the virtual boson - proton c.m. frame [\hat{z} -axis along the virt. boson direction; \hat{x} -axis along the hadron $\mathbf{k}_\perp \equiv \mathbf{p}_T$; \uparrow along the $+\hat{y}$ -axis and $\phi = \pi/2$]

In the region $z > 0.2$ where $D_{\Lambda/q} \simeq 0$ and $\Delta^N D_{\Lambda^\uparrow/q} \simeq 0$ ($= 0$ in our model), we have:

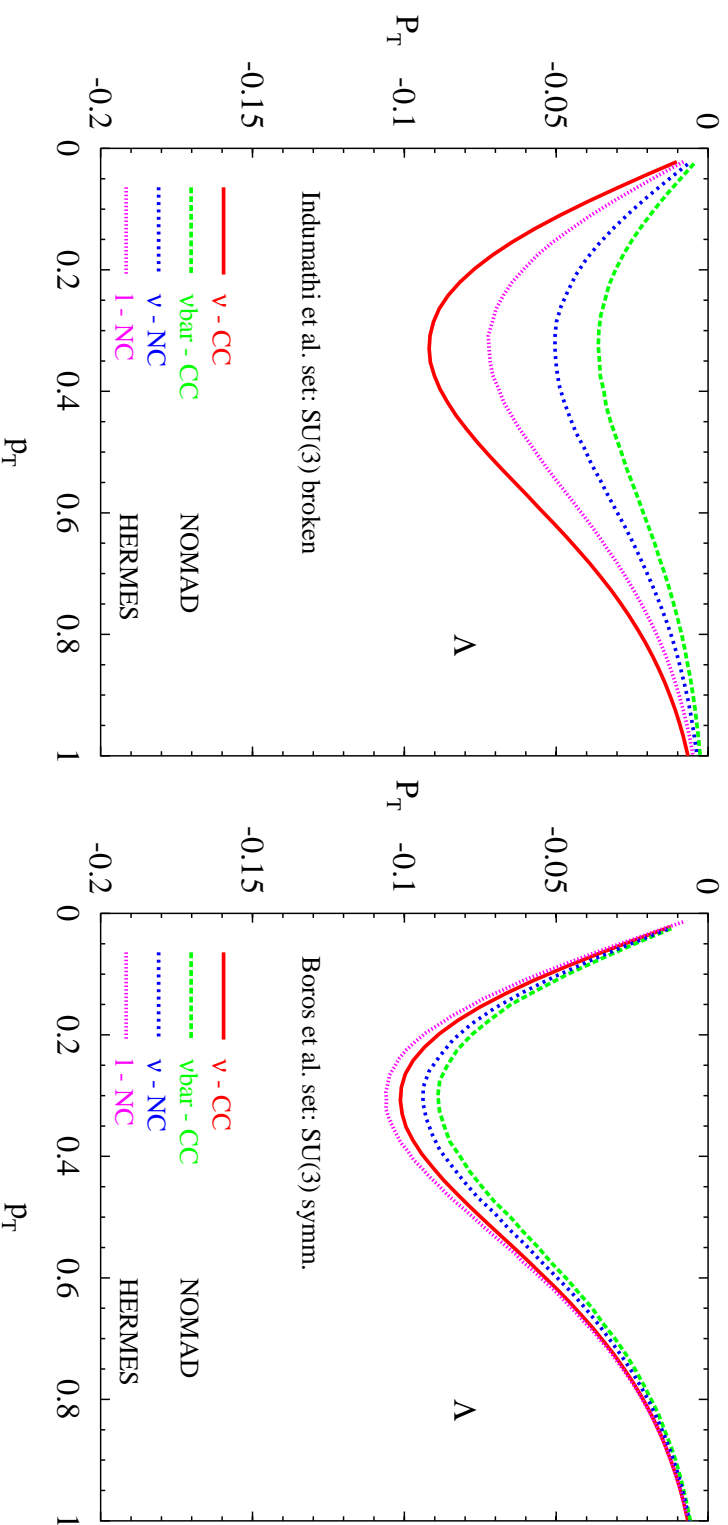
- $\nu p \rightarrow \ell^- \Lambda^\uparrow X$ $P_T^\Lambda \simeq \frac{(d+Rs) \Delta^N D_{\Lambda^\uparrow/u}}{(d+Rs) D_{\Lambda/u}}$
- $\bar{\nu} p \rightarrow \ell^+ \Lambda^\uparrow X$ $P_T^\Lambda \simeq \frac{(1-y)^2 u (\Delta^N D_{\Lambda^\uparrow/u} + R \Delta^N D_{\Lambda^\uparrow/s})}{(1-y)^2 u (D_{\Lambda/u} + R D_{\Lambda/s})}$
- $\nu p \rightarrow \nu \Lambda^\uparrow X$ $P_T^\Lambda \simeq \frac{(Ku+d) \Delta^N D_{\Lambda^\uparrow/u} + s \Delta^N D_{\Lambda^\uparrow/s}}{(Ku+d) D_{\Lambda/u} + s D_{\Lambda/s}}$
- $\ell p \rightarrow \ell' \Lambda^\uparrow X$ $P_T^\Lambda \simeq \frac{(4u+d) \Delta^N D_{\Lambda^\uparrow/u} + s \Delta^N D_{\Lambda^\uparrow/s}}{(4u+d) D_{\Lambda/u} + s D_{\Lambda/s}}$

where $R = \tan^2 \theta_c \simeq 0.056$ and $K \simeq 0.555$.



Preliminary predictions for $P_T(\Lambda)$ vs. z , integrated over p_T , with a $SU(3)$ symmetry broken (on the left) and a $SU(3)$ symmetric (on the right) set of unpolarized and polarizing FF for different semi-inclusive DIS processes.

HERMES kin.: $0.023 \leq x \leq 0.8$, $y \leq 0.85$, $1 \leq Q^2 \leq 24$ (in GeV^2), $W^2 \geq 4 \text{ GeV}^2$;
 NOMAD kin.: $\langle E_\nu \rangle = 48.8 \text{ GeV}$; $\langle Q^2 \rangle \simeq 9 \text{ GeV}^2$; $\langle x \rangle = 0.22$.



Preliminary predictions for $P_T(\Lambda)$ vs. p_T , integrated over $0.4 < z < 0.8$, with a $SU(3)$ symmetry broken (on the left) and a $SU(3)$ symmetric (on the right) set of unpolarized and polarizing FF for different semi-inclusive processes.

HERMES kin.: $0.023 \leq x \leq 0.8$, $y \leq 0.85$, $1 \leq Q^2 \leq 24$ (in GeV^2), $W^2 \geq 4 \text{ GeV}^2$;
 NOMAD kin.: $\langle E_\nu \rangle = 48.8 \text{ GeV}$; $\langle Q^2 \rangle \simeq 9 \text{ GeV}^2$; $\langle x \rangle = 0.22$.

$P_T^\Lambda(x, y, z, \mathbf{p}_T)$ in polarized semi-inclusive DIS ($x_F > 0$)

$$\ell \mathbf{p}^\uparrow \rightarrow \ell' \mathbf{\Lambda}^\uparrow(\mathbf{p}_T) + X \quad P_T = \frac{d\sigma^{\uparrow\uparrow} - d\sigma^{\uparrow\downarrow}}{d\sigma^{\uparrow\uparrow} + d\sigma^{\uparrow\downarrow}}$$

$$\begin{aligned} d\sigma^{\uparrow\uparrow} &= \sum_q \{ f_{q^\uparrow/p^\uparrow} [d\hat{\sigma}^{\ell q^\uparrow \rightarrow \ell q^\uparrow} \hat{D}_{\mathbf{\Lambda}^\uparrow/q^\uparrow}(\mathbf{p}_T) + d\hat{\sigma}^{\ell q^\uparrow \rightarrow \ell q^\downarrow} \hat{D}_{\mathbf{\Lambda}^\uparrow/q^\downarrow}(\mathbf{p}_T)] \\ &\quad + f_{q^\downarrow/p^\uparrow} [d\hat{\sigma}^{\ell q^\uparrow \rightarrow \ell q^\uparrow} \hat{D}_{\mathbf{\Lambda}^\uparrow/q^\uparrow}(\mathbf{p}_T) + d\hat{\sigma}^{\ell q^\uparrow \rightarrow \ell q^\downarrow} \hat{D}_{\mathbf{\Lambda}^\uparrow/q^\downarrow}(\mathbf{p}_T)] \} \end{aligned}$$

$$\begin{aligned} [d\sigma^{\uparrow\uparrow} - d\sigma^{\uparrow\downarrow}](\mathbf{p}_T) &= \frac{1}{2} \sum_q \{ q d\hat{\sigma} [\Delta_T D_{\mathbf{\Lambda}/q}(+\mathbf{p}_T) - \Delta_T D_{\mathbf{\Lambda}/q}(-\mathbf{p}_T)] \\ &\quad + \Delta_T q \Delta_N \hat{\sigma} [\Delta_T D_{\mathbf{\Lambda}/q}(+\mathbf{p}_T) + \Delta_T D_{\mathbf{\Lambda}/q}(-\mathbf{p}_T)] \} \end{aligned}$$

$$\begin{aligned} \Delta_T D_{\mathbf{\Lambda}/q}(\mathbf{p}_T) &\equiv \hat{D}_{\mathbf{\Lambda}^\uparrow/q^\uparrow}(\mathbf{p}_T) - \hat{D}_{\mathbf{\Lambda}^\downarrow/q^\uparrow}(\mathbf{p}_T) \quad \text{and} \quad \Delta_T q \equiv f_{q^\uparrow/p^\uparrow} - f_{q^\downarrow/p^\uparrow} \\ d\hat{\sigma} &\equiv d\hat{\sigma}^{q^\uparrow \rightarrow q^\uparrow} + d\hat{\sigma}^{q^\uparrow \rightarrow q^\downarrow}; \quad \Delta_N \hat{\sigma} \equiv d\hat{\sigma}^{q^\uparrow \rightarrow q^\uparrow} - d\hat{\sigma}^{q^\uparrow \rightarrow q^\downarrow}. \end{aligned}$$

On the other hand we have:

$$\begin{aligned}
 & \Delta_T D_{\Lambda/q}(+\mathbf{p}_T) - \Delta_T D_{\Lambda/q}(-\mathbf{p}_T) \\
 &= [\hat{D}_{\Lambda^\uparrow/q^\uparrow}(+\mathbf{p}_T) - \hat{D}_{\Lambda^\uparrow/q^\uparrow}(+\mathbf{p}_T)] - [\hat{D}_{\Lambda^\uparrow/q^\uparrow}(-\mathbf{p}_T) - \hat{D}_{\Lambda^\uparrow/q^\uparrow}(-\mathbf{p}_T)] \\
 &= [\hat{D}_{\Lambda^\uparrow/q^\uparrow}(+\mathbf{p}_T) - \hat{D}_{\Lambda^\uparrow/q^\uparrow}(+\mathbf{p}_T)] - [\hat{D}_{\Lambda^\uparrow/q^\uparrow}(+\mathbf{p}_T) - \hat{D}_{\Lambda^\uparrow/q^\uparrow}(+\mathbf{p}_T)] \\
 &= 2\hat{D}_{\Lambda^\uparrow/q}(p_T) - 2\hat{D}_{\Lambda^\uparrow/q}(p_T) = 2\Delta^N D_{\Lambda^\uparrow/q}(p_T)
 \end{aligned}$$

$$\begin{aligned}
 \blacktriangleright [d\sigma^{\uparrow\uparrow} - d\sigma^{\uparrow\downarrow}](p_T) &= \sum_q \{q d\hat{\sigma} \Delta^N D_{\Lambda^\uparrow/q}(p_T) \\
 &+ \Delta_T q \Delta_N \hat{\sigma} [\Delta_T D_{\Lambda/q}(+\mathbf{p}_T) + \Delta_T D_{\Lambda/q}(-\mathbf{p}_T)]/2\}
 \end{aligned}$$

- for unpolarized p $\Delta_T q \equiv 0 \Rightarrow \sum_q q d\hat{\sigma} \Delta^N D_{\Lambda^\uparrow/q}(p_T)$
- for ν -CC processes $\Delta_N \hat{\sigma} \equiv 0 \Rightarrow \sum_q q d\hat{\sigma} \Delta^N D_{\Lambda^\uparrow/q}(p_T)$
- if integrated over $p_T \Rightarrow \sum_q q \Delta_T q \Delta_N \hat{\sigma} \Delta_T D_{\Lambda/q}$

[M. Anselmino, M. Boglione, F. Murgia, PLB 481 (2000) 253]

Conclusions and outlook

- PFF $\Delta_0^N D_{\Lambda^\uparrow/q}(z, \langle k_\perp \rangle)$: good description of transverse Λ , $\bar{\Lambda}$ polarization in $pA \rightarrow \Lambda^\uparrow X$;
- Parameterizations for the PFF to extrapolate a consistent, gaussian k_\perp - dependence for $\Delta^N D_{\Lambda^\uparrow/q}(z, k_\perp)$;
- Preliminary predictions for P_T^Λ in unpolarized semi-inclusive DIS ($x_F > 0$): importance of a comparison of different processes (CC vs. NC);
- P_T^Λ in polarized SI-DIS to access $\Delta_T q$ and/or to disentangle $\Delta^N D_{\Lambda^\uparrow/q}$ effects;
- Improvements (in progress) from a more detailed treatment of (not averaged) k_\perp - dependence in $pA \rightarrow \Lambda^\uparrow X$, where a large amount of data is available;
- More data on semi-inclusive DIS to test the z and k_{\perp} - dependence of PFF.