

Analytic progress on exact lattice chiral symmetry

Yoshio Kikukawa (Nagoya Univ.)

*Three years since the re-discovery of the GW relation.
What are the remaining issues ?*

A progress report of recent activities on theoretical issues

Exact chiral symmetry on the lattice / G-W rel.

- GW (Dirac) fermions
 - Locality
 - Universality (Phase structure: weak vs. strong coupling)
 - $\Longleftrightarrow$ Admissibility cond.
 - ($\implies$ Numerical applications)
 - Unitarity
 - Seek new Dirac operators with better local and chiral properties
 - ($\implies$ Numerical applications)
 - ...
- Construction of chiral gauge theories
 - Non-abelian chiral gauge theories
 - * Classification of topological fields on the lattice
 - $\Longleftrightarrow$ Admissibility cond.
 - * Global anomalies
 - Practical construction ($\implies$ Numerical tests)
 - ...
- Extensions of that nice idea to other fields
 - ...

- GW (Dirac) fermions
 - Locality Horvath et al. [Non-Ultralocality]
 - Universality (Phase structure: weak vs. strong coupling)
 - Brower et al. [Strong coupling exp.]
 - Unitarity
 - Lüscher [Positivity]
 - Creutz [Hamiltonian formalism]*
 - Seek new Dirac operators (new implementations) with better local and chiral properties
 - Ishibashi-Fujikawa [Algebraic extension]*
 - Holland et al. [Fixed point $\otimes$ Overlap]*
 - Wenger et al. [Continued fraction expansion]*
 - Fleming-Vranas [Staggered DWF]*
 - Hofheinz [RG approach]*
 - Index theorem and GW rel.
 - Yamada [RG approach]*,
 - Chiu [Counter example]*
 - (Numerical) Applications
 - Capitani et al [Perturbative renormalization]
 - Alexandrou et al [Perturbative renormalization]
 - Giusti et al [U(1) problem]
 - . . .

- Construction of chiral gauge theories
 - (Non-) abelian chiral gauge theories
 - Suzuki [Real rep.]
 - Nakayama-Suzuki-YK [Electroweak $SU(2) \times U(1)^*$]
 - Explicit construction ($\implies$ Numerical tests)
 - YK [Use of DWF]
 - ...
- Extensions of **that nice idea** to other fields
 - Odd dimensions and parity
 - Bietenholz-Nishimura [GW fermions in odd dim.]
 - Application in the continuum theory
 - So et al. [RG in the continuum and GW rel.]

1. Chiral gauge theory with exact gauge invariance

- Discrete formulation of integrability condition
- Relation to Domain wall fermion

2. More on the G-W (Dirac) fermions

- Unitarity
 - ...
- Locality and Universality
- Attempts to seek new Dirac operator
 - Algebraic extension of the GW rel.
 - ...
- RG approach, the GW rel. and Index theorem
- GW fermions in odd dimensions

the Ginsparg-Wilson relation:

Hasenfratz, Neuberger, Lüscher

$$\gamma_5 D + D \hat{\gamma}_5 = 0$$

$$\hat{\gamma}_5 \equiv \gamma_5 (1 - 2aD) \quad \{\hat{\gamma}_5\}^2 = 1$$

admissibility condition:

$$\|1 - U(p)\| < \epsilon \quad \epsilon < \frac{1}{30}$$

$$U(p) = U(x, \mu) U(x + \hat{\mu}, \nu) U(x + \hat{\nu}, \mu)^{-1} U(x, \nu)^{-1}$$

$\implies$ Local and differentiable with respect to gauge field

- overlap Dirac operator

Neuberger, Neuberger & Narayanan

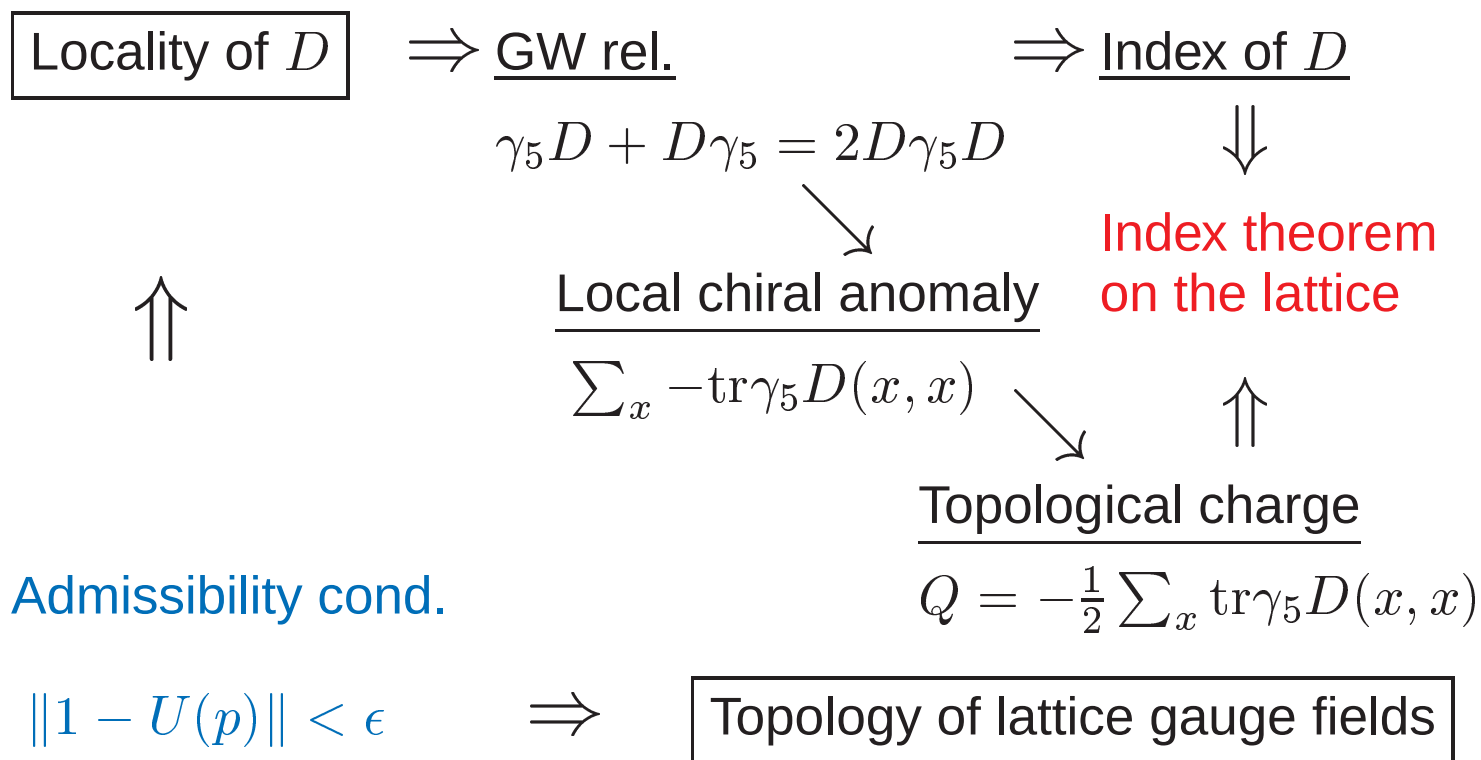
- rigorous proof of these properties

Hernández, Jansen & Lüscher

Weyl fermion:

Hasenfratz & Niedermayer, Lüscher, Narayanan

$$\left(\frac{1 - \hat{\gamma}_5}{2}\right) \psi_L(x) = \psi_L(x), \quad \bar{\psi}_L(x) \left(\frac{1 + \gamma_5}{2}\right) = \bar{\psi}_L(x)$$



Laliena, Hasenfratz & Niedermayer

Narayanan & Neuberger (Topological charge)

Path-Integral measure $\Longleftrightarrow$ Chiral basis $\{\boldsymbol{v}_j(\boldsymbol{x})\}$

$$\psi_L(\boldsymbol{x}) = \sum_j \boldsymbol{v}_j(\boldsymbol{x}) \boldsymbol{c}_j, \quad \bar{\psi}_L(\boldsymbol{x}) = \sum_k \bar{\boldsymbol{c}}_k \bar{\boldsymbol{v}}_k(\boldsymbol{x})$$

$$\hat{P}_- \boldsymbol{v}_j(\boldsymbol{x}) = \boldsymbol{v}_j(\boldsymbol{x}) \quad (j = \dots), \quad \hat{P}_- = \left(\frac{1 - \hat{\gamma}_5}{2} \right)$$

$$\mathcal{D}[\psi_L] \mathcal{D}[\bar{\psi}_L] \equiv \prod_i d\boldsymbol{c}_i \prod_k d\bar{\boldsymbol{c}}_k$$

- change of the chiral basis by a unitary transformation:

$$\tilde{\boldsymbol{v}}_i(\boldsymbol{x}) = \boldsymbol{v}_j(\boldsymbol{x}) (\boldsymbol{Q}^{-1})_{ji}, \quad \tilde{\boldsymbol{c}}_i = \boldsymbol{Q}_{ij} \boldsymbol{c}_j$$

$$\mathcal{D}[\psi_L] \mathcal{D}[\bar{\psi}_L] \Longrightarrow \mathcal{D}[\psi_L] \mathcal{D}[\bar{\psi}_L] \det \boldsymbol{Q}$$

gauge-field dependent phase ambiguity

- to be fixed by requiring ...
 - Gauge invariance at finite lattice spacing
 - Local field equation
 - Smooth dependence on the gauge fields $\{U(x, \mu)\}$

- Gauge field dependence of the fermion measure

Variation of effective action with respect to gauge field

$$\Gamma_{\text{eff}} = \ln \det (\bar{v}_k, D v_j), \quad \delta_\eta U(x, \mu) = a \eta_\mu(x) U(x, \mu)$$

$$\delta_\eta \Gamma_{\text{eff}} = \text{Tr} \left\{ (\delta_\eta D) \hat{P}_- D^{-1} P_+ \right\} + a^4 \sum_x v_j^\dagger(x) \delta_\eta v_j(x)$$

- Measure term

(Berry's connection Neuberger 1998)

$$L_\eta = i \sum_j (v_j, \delta_\eta v_j)$$

$$L_\eta \longrightarrow L_\eta - i \delta_\eta \ln \det \mathcal{Q}$$

Geometrical interpretation:

$$v_j^a(x) \in X_a \quad v_j^b(x) \in X_b$$

$$v_j^b(x) = \sum_l v_l^a(x) \mathcal{Q}_{lj}(a \rightarrow b) \in X_a \cap X_b$$

$$V_{ab} = \det \mathcal{Q}(a \rightarrow b)$$

a U(1) bundle over the space of gauge fields

Smooth fermion measure $\iff V_{ab} = 1 \in X_a \cap X_b$
Trivial U(1) bundle

- Measure term (U(1) bundle) as lattice U(1) gauge field

$$\dots, U(x, \mu)^{(n)}, U(x, \mu)^{(n+1)}, \dots$$

$$V_{n,n+1} \equiv \frac{\det(v_i^{(n+1)}, v_j^{(n)})}{\left| \det(v_i^{(n+1)}, v_j^{(n)}) \right|} \in U(1)$$

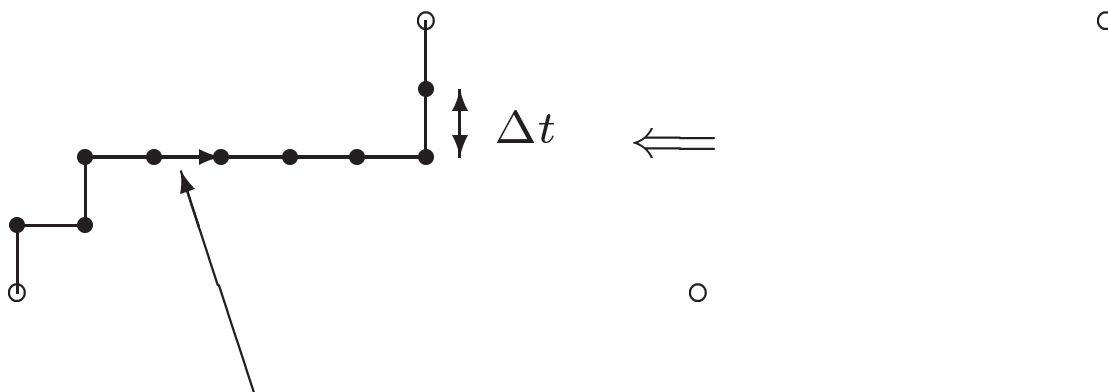
$$V_{n,n+1} \longrightarrow G_n V_{n,n+1} G_{n+1}^{-1} \quad G_n = \det Q^{(n)}$$

Change of phase of fermion measure
along smooth curve in the space of the gauge field

$$U_t(x, \mu) \quad 0 \leq t \leq 1$$

$$W = \exp \left\{ i \int_0^1 dt \mathcal{L}_\eta \right\} \quad \eta = \partial U_t U_t^{-1}$$

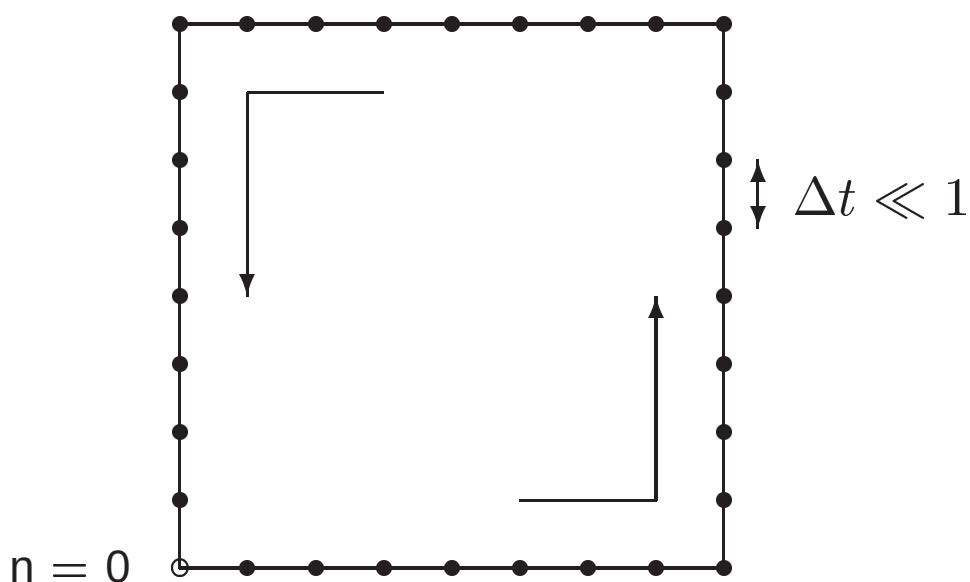
Discrete approach ! : $t = n\Delta t \quad \Delta t \ll 1$



$$V_{n,n+1} \equiv \frac{\det(v_i^{(n+1)}, v_j^{(n)})}{\left| \det(v_i^{(n+1)}, v_j^{(n)}) \right|}$$

$$W = \prod_{n=0}^{1/\Delta t - 1} V_{n,n+1}$$

along a “smooth” closed curve

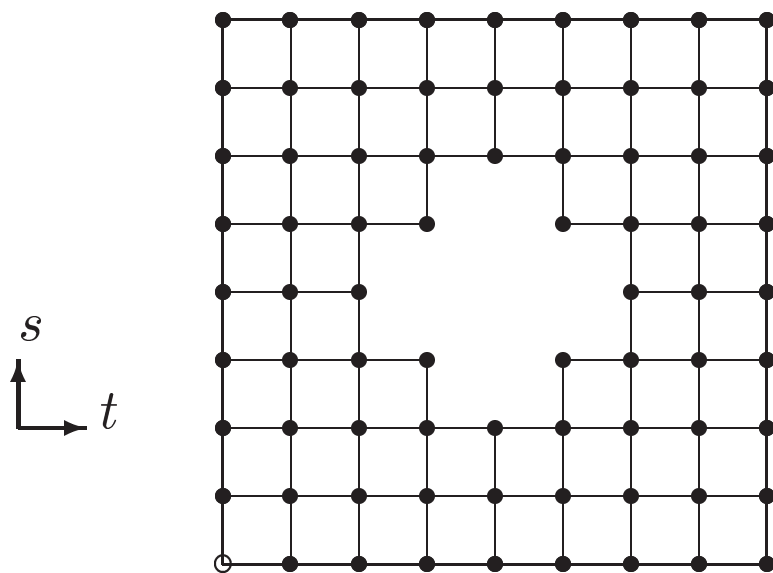
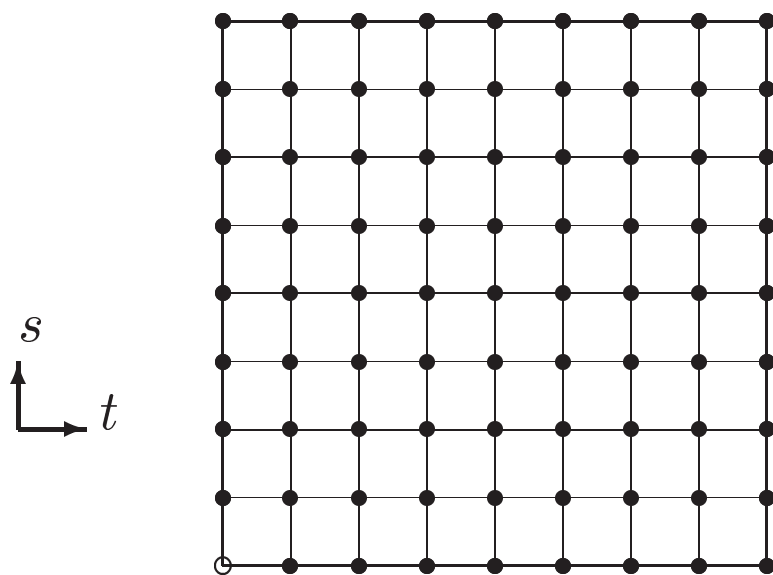


Global integrability condition

$$W = \frac{\det \left(1 - P^{(0)} + P^{(0)} \prod_{n=1}^{1/\Delta t - 1} P^{(n)} \right)}{\left| \det \left(1 - P^{(0)} + P^{(0)} \prod_{n=1}^{1/\Delta t - 1} P^{(n)} \right) \right|}$$

$$P^{(n)} = \hat{P}^{(n)} = \sum_j v_j^{(n)} \otimes \left(v_j^{(n)} \right)^\dagger$$

$$\frac{\det(v_i^{(n+2)}, v_j^{(n+1)})}{\left| \det(v_i^{(n+2)}, v_j^{(n+1)}) \right|} \frac{\det(v_i^{(n+1)}, v_j^{(n)})}{\left| \det(v_i^{(n+1)}, v_j^{(n)}) \right|} = \frac{\det(v_i^{(n+2)}, P^{(n+1)} v_j^{(n)})}{\left| \det(v_i^{(n+2)}, P^{(n+1)} v_j^{(n)}) \right|}$$



Using Field strength (Plaquette variable):

$$U(s, t) = V_t(s, t) V_s(s, t + 1) V_t(s + 1, t)^{-1} V(s, t)^{-1}$$

$$W = \prod_{s, t} U(s, t) \quad (\text{contractible loop})$$

Magnetic flux of U(1) lattice gauge field (Topological field)

$$F_{s, t} = \frac{1}{2\pi i} \ln U(s, t), \quad \sum_{s, t} \delta F_{s, t} = 0$$

$\Rightarrow$ topological field on 6 dim. lattice

$$\sum_{s, t} F_{s, t} = \sum_{x, s, t} q(x, s, t)$$

$$q(x, s, t) = \text{ImLn}(1 - P_{s, t} + P_{s, t} \prod_{\square} P)(x, x)$$

$(\Delta t, \Delta s \rightarrow 0) \Rightarrow$ Lüscher's topological field

on 4-dim. lattice + 2-dim. continuum space

1. Zero magnetic flux: $\sum_{s,t} F_{s,t} = 0 \iff$ No gauge anomaly

$$F_{s,t} = \frac{1}{i} \ln U(s,t) = \partial_s A_t(s,t) - \partial_t A_s(s,t)$$

2. Global integrability condition (non-contractible loops)

$$\prod_{n=0}^{1/\Delta t - 1} e^{iA_{n,n+1}} = \frac{\det\left(1 - P^{(0)} + P^{(0)} \prod_{n=1}^{1/\Delta t - 1} P^{(n)}\right)}{\left|\det\left(1 - P^{(0)} + P^{(0)} \prod_{n=1}^{1/\Delta t - 1} P^{(n)}\right)\right|}$$

$\implies$

$$V_{m,m+1} \times e^{-iA_{m,m+1}} = H_n \cdot H_{n+1}^{-1}$$

Trivial U(1) gauge field
(Trivial U(1) bundle)

$\implies$ Integrable & smooth measure

Fermion measure (basis) :

$$v_j^{(n)} = \begin{cases} w_1^{(n)} \frac{\det(w_k^{(n)}, \prod_{m=n-1}^0 P^{(m)} w_l^{(0)})}{\left|\det(w_k^{(n)}, \prod_{m=n-1}^0 P^{(m)} w_l^{(0)})\right|} e^{-i \sum_m A_{m,m+1}} \\ w_j^{(n)} \quad (j \neq 1) \end{cases}$$

- Interpolation from w_j^0 to $w_j^1 \Rightarrow$ phase change by Wilson line
- Add $A_{m,m+1} \Rightarrow$ Path-independent measure

Integrability(Q1), Locality , Gauge invariance of $A_{m,m+1}$

$\Rightarrow$ Local cohomology problem Lüscher

for topological field on 6-dim. space

$\Leftarrow$ Require Gauge anomaly cancellation

- Need to explore the space of admissible lattice gauge fields
- Need to go “higher dimensions”

Domain wall fermion for chiral gauge theory¹⁰

Domain wall fermion

Kaplan, Shamir-Furman

$$a = a_5 = 1, \quad t \in [-N + 1, N]$$

$$S_{\text{DW}} = \sum_{t,x} \bar{\psi}(x, t) (D_{5\text{w}} - m_0) \psi(x, t)$$

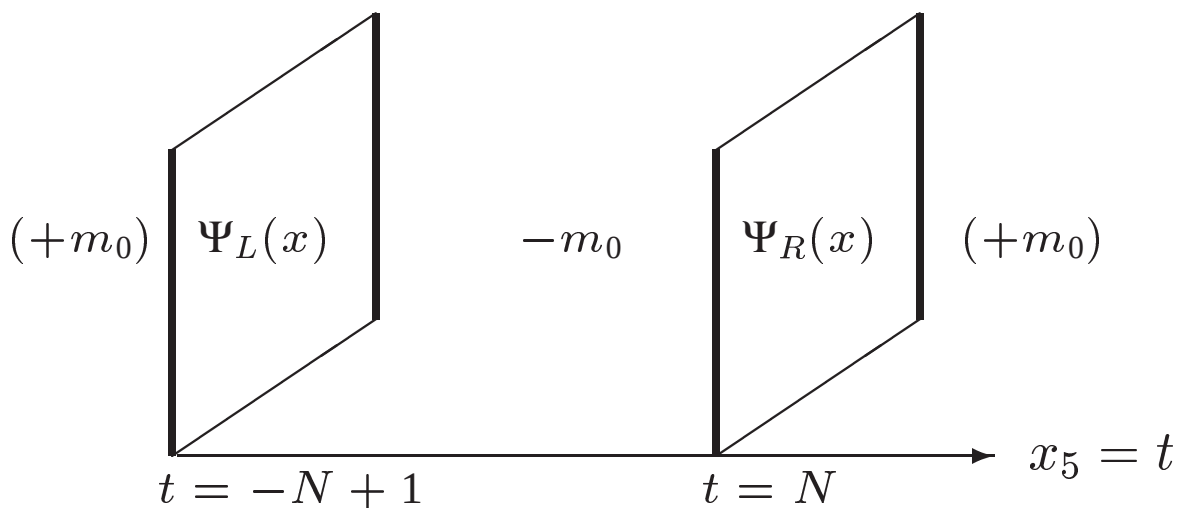
or the Dirichlet boundary condition

$$\psi_R(x, t) |_{t=-N} = 0, \quad \psi_L(x, t) |_{t=(N+1)} = 0$$

single massless Dirac fermion in the limit $N \rightarrow \infty$!

- field variables on the walls:

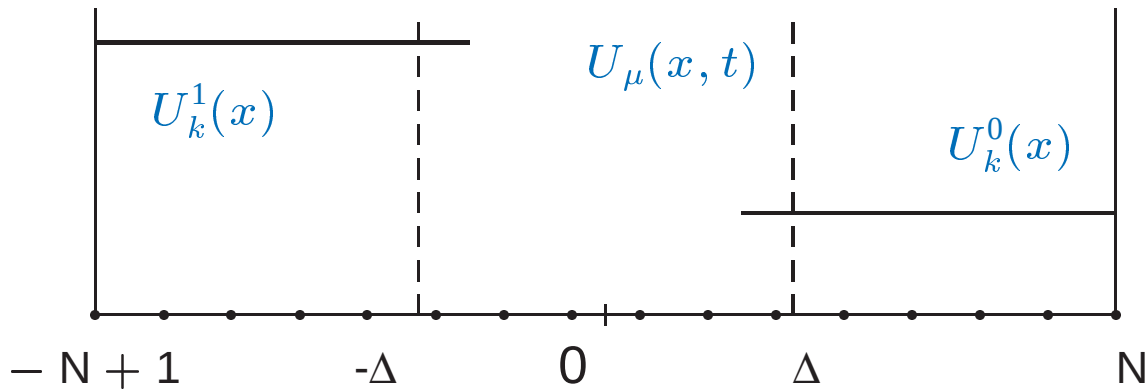
$$q(x) \equiv \psi_L(x, -N + 1) + \psi_R(x, N)$$



- For vector-like theories:

$$U_k(x, t) = U_k(x) \quad (k = 1, 2, 3, 4), \quad U_5(x, t) = 1$$

- Chiral gauge coupling by five-dimensional gauge field



Take the limit $N \rightarrow \infty$ with Δ kept finite ($N \gg \Delta \simeq 1$)

5-dim. admissibility condition:

$$\|1 - P_{\mu\nu}(z)\| < \epsilon' \quad \epsilon' < \frac{1}{50} \{1 - |1 - m_0|^2\}$$

$\Rightarrow$ Smooth interpolation

$\Rightarrow$ Locality of Chern-Simons current

cf. Golterman-Jansen-Kaplan 1993; Jansen 1996

Chiral coupling $\Rightarrow$ 5-dim. dependence

How to obtain 4-dim. theory ? (dimensional reduction ?)

cf. Overlap $\Leftarrow$ Generalized PV (Slavnov-Frolov)
Waveguide, Creutz et al

Analysis of the path-dependence in DWF

$\Longleftrightarrow$ Analysis of the integrability condition

- Path-dependence of DWF partition function

$$\ln \det (D_{5w} - m_0)|_{\text{Dir.}}^{c_2} - \ln \det (D_{5w} - m_0)|_{\text{Dir.}}^{c_1}$$

Variation within $t \in [-\Delta, \Delta]$

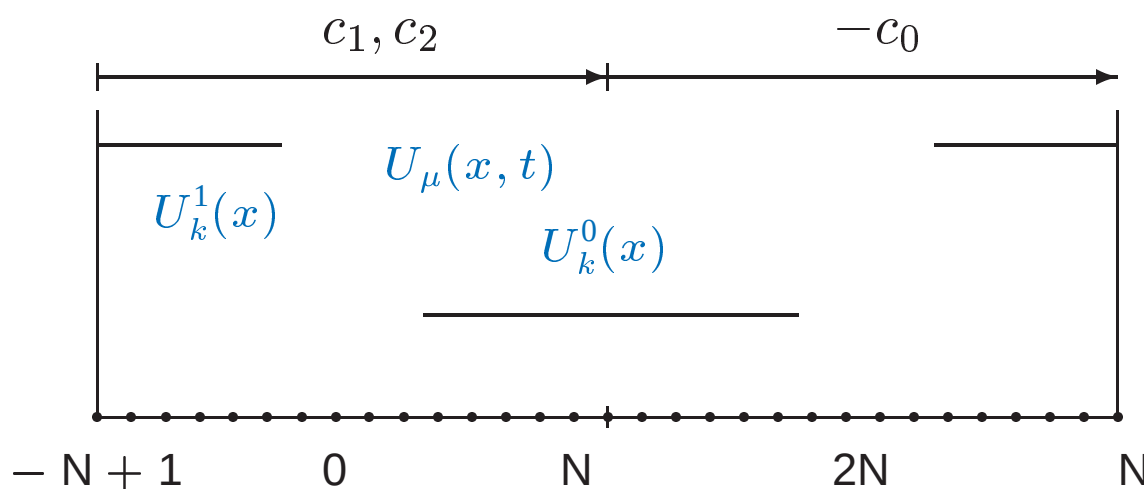
$$\delta \ln \det (D_{5w} - m_0)|_{\text{Dir.}}^c = \sum_{x,t} \eta_\mu^a(z) J_\mu^a(z)|_{\text{Dir.}}$$

- In the limit $N \rightarrow \infty$

$$J_\mu^a(z)|_{\text{Dir.}} \longrightarrow J_\mu^a(z) \quad t \in [-\Delta, \Delta]$$

Independent of boundary conditions

- 5-dim. Wilson-Dirac fermion with anti-periodic b.c.
 $t \in [-N + 1, 3N]$



Variation within $t \in [-\Delta, \Delta]$

$$\delta \ln \det (D_{5w} - m_0)|_{\text{AP}}^{c_1 - c_0} = \sum_{x,t} \eta_\mu^a(z) J_\mu^a(z)|_{\text{AP}}$$

- Integrability condition for DWF ($N \rightarrow \infty$)

$$\begin{aligned}
& \ln \det (D_{5w} - m_0)|_{\text{Dir.}}^{c_2} - \ln \det (D_{5w} - m_0)|_{\text{Dir.}}^{c_1} \\
&= \ln \det (D_{5w} - m_0)|_{\text{AP}}^{c_2 - c_0} - \ln \det (D_{5w} - m_0)|_{\text{AP}}^{c_1 - c_0} \\
&= \frac{1}{2} \ln \det (D_{5w} - m_0)|_{\text{AP}}^{c_2 - c_2} - \frac{1}{2} \ln \det (D_{5w} - m_0)|_{\text{AP}}^{c_1 - c_1} \\
&\quad + i \operatorname{Im} \ln \det (D_{5w} - m_0)|_{\text{AP}}^{c_2 - c_1}
\end{aligned}$$

$\Rightarrow$ Chern-Simons term So, Coste-Lüscher

$$Q_{5w}^{c_2 - c_1} \equiv \lim_{N \rightarrow \infty} \operatorname{Im} \ln \det (D_{5w} - m_0)|_{\text{AP}}^{c_2 - c_1}$$

for the loop $c_2 - c_1$

Path-dependence governed by lattice Chern-Simons term !

Reflection : reversed interpolation = complex conjugation

$$P : t \longrightarrow t' = -t + 1$$

$$U_\mu(x, t) \longrightarrow U'_\mu(x, t) = \begin{cases} U'_k(x, t) = U_k(x, -t + 1) \\ U'_5(x, t) = U_5(x, -t + 1)^{-1} \end{cases}$$

$$D_{5w} \longrightarrow D'_{5w} = P \gamma_5 D_{5w}^\dagger \gamma_5 P$$

- If, for all possible loops l in the space of gauge fields,

$$Q_{5w}^l = \lim_{N \rightarrow \infty} \sum_{t=-N+1}^{3N} \sum_x c_{5w}(x, t)$$

where $c_{5w}(x, t)$ is smooth, local, and gauge-invariant

1. smooth, local and gauge-invariant counter term for each path c : $l \rightarrow c_2 + (-c_1)$

$$Q_{5w}^{c_2+(-c_1)} = C_{5w}^{c_2} - C_{5w}^{c_1}$$

2. path-independent partition function ($N \rightarrow \infty$)

$$\frac{\det(D_{5w} - m_0)|_{\text{Dir.}}^c}{\left| \det(D_{5w} - m_0)|_{\text{AP}}^{c+(-c)} \right|^{\frac{1}{2}}} \exp \{ -i C_{5w}^c \}$$

depends only on U_k^0 and $U_k^1 \Rightarrow$ reduction to 4-dim.
locality and gauge-invariance are OK

- local cohomology problem $z = (x, t), s \in [0, 1]$

$$\delta_\eta Q_{5w}^l = \sum_z \eta_\mu^a(z) J_\mu^a(z) \quad \text{local CS current}$$

One-parameter family of $U_\mu(z) \quad s \in [0, 1]$

$$U_\mu(z, s), A_6(z, s); \quad \eta_\mu^a(z; s) = \left\{ D_s U_\mu(z, s) U_\mu^{-1}(z, s) \right\}^a$$

$$q(z, s) = \eta_\mu^a(z; s) J_\mu^a(z; s), \quad \sum_z \int ds \delta q(z) = 0$$

$$q(z, s) = \eta_\mu^a(z; s) J_\mu^a(z; s) \stackrel{?}{=} \partial_\mu K_\mu(z, s) + \partial_s K_6(z, s)$$

$$\implies K_6(z, s = 1) = c_{5w}(z)$$

- Effective action and fermion measure
Using Master formula (Neuberger 1997)

$$T_t = \exp(-H_t)$$

$$\det(D_{5w} - m_0)|_{\text{Dir.}} = \det\left(1 - P_L + P_L \prod_{t=-N+1}^N T_t\right) \beta^{(N)}$$

$$\det(D_{5w} - m_0)|_{\text{AP}} = \det\left(1 + \prod_{t=-N+1}^{3N} T_t\right) \beta^{(3N)}$$

$$\beta^{(M)} = \prod_{t=-N+1}^M \alpha_t$$

$(N \rightarrow \infty)$

Effective action:

$$\frac{\det(D_{5w} - m_0)|_{\text{Dir.}}^{c_1}}{\left|\det(D_{5w} - m_0)|_{\text{AP}}^{c_1 + (-c_1)}\right|^{\frac{1}{2}}} e^{-iC_{5w}(c_1)} = \det\left(\bar{v}_k, D^1 v_j^1\right) \det\left(\bar{v}_k, D^0 w_j^0\right)^*$$

cf. Alvarez-Gaumé et al (η -invariant)

Fermion measure (basis):

$$v_j^1(x) = \begin{cases} w_j^1(x) & e^{i\phi(c_1)} e^{-iC_{5w}(c_1)} \quad (j = 1) \\ w_j^1(x) & \quad (j \neq 1) \end{cases}$$

$$e^{i\phi(c_1)} = \frac{\det\left(w_i^1, \{U_{5,\Delta}^{-1} \prod_{t=-\Delta+1}^{\Delta} T_t U_{5,t-1}^{-1}\} w_j^0\right)}{\left|\det\left(w_i^1, \{U_{5,\Delta}^{-1} \prod_{t=-\Delta+1}^{\Delta} T_t U_{5,t-1}^{-1}\} w_j^0\right)\right|}$$

cf. WB phase choice

where

$$D = \frac{1}{2} \left(1 + \gamma_5 \frac{H}{\sqrt{H^2}}\right) \quad \hat{P}_- = \frac{1}{2} \left(1 + \frac{H}{\sqrt{H^2}}\right)$$

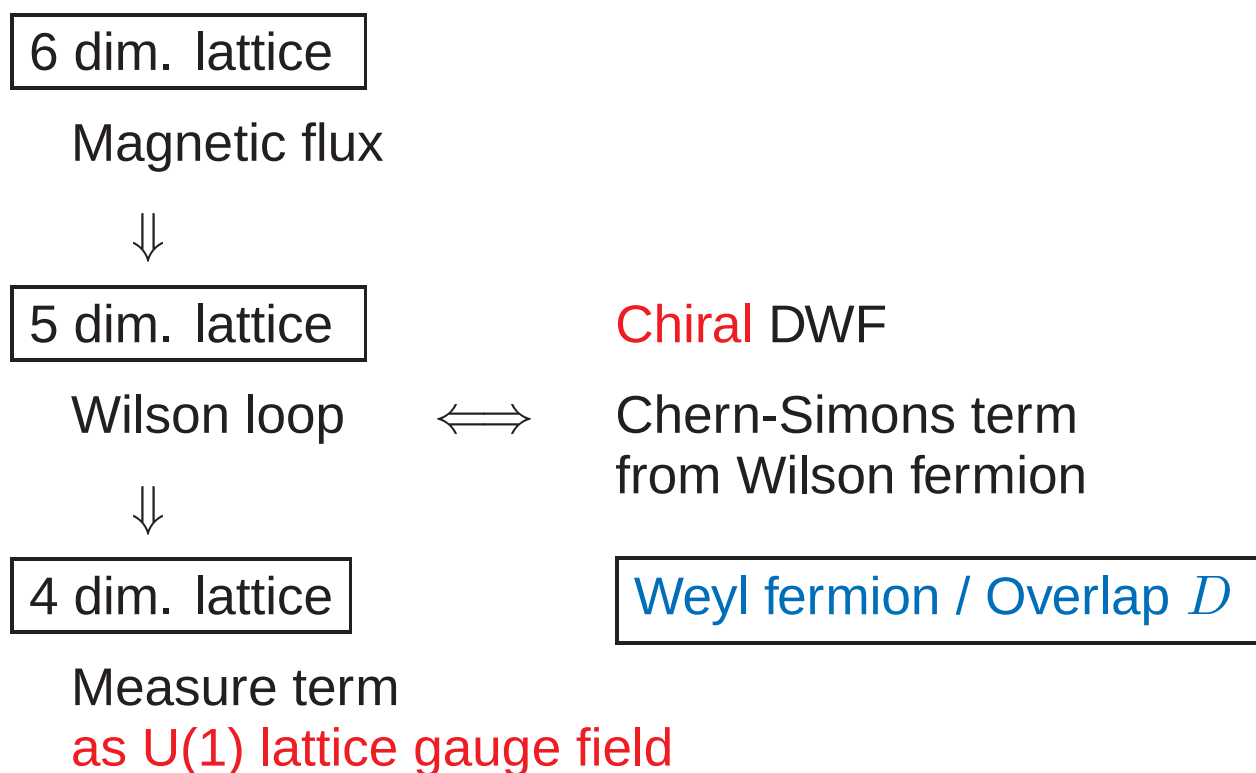
- Chern-Simons term

$$T^{N'} \propto \hat{P}_- \quad (N' \rightarrow \infty)$$

$$\begin{aligned} \exp \{iQ_{5w}\} &\equiv \frac{\det \left(1 + \prod_{t=-N+1}^{3N} T_t \right)}{\left| \det \left(1 + \prod_{t=-N+1}^{3N} T_t \right) \right|} \\ &\Rightarrow \frac{\det \left(1 - P^{(0)} + P^{(0)} \prod_{n=1}^{1/\Delta t - 1} P^{(n)} \right)}{\left| \det \left(1 - P^{(0)} + P^{(0)} \prod_{n=1}^{1/\Delta t - 1} P^{(n)} \right) \right|} \end{aligned}$$

in the Global integrability condition

1. Descent relation through 6-dim, 5-dim, and 4-dim. lattices



2. Chiral DWF

Formula of low energy effective action

$\implies$ fermion measure (basis)

3. Hints for practical implementation & theoretical analysis

- abelian chiral gauge theory Lüscher
 $\implies$ Explicit construction (numerically) possible !?
 – 2D, 4D models
cf. Narayanan, Neuberger & YK 1997
cf. Borneyakov, Hoferichter & Schierholz 2000
- analysis of non-abelian anomaly
 - Classification of topological fields on 6-dim. lattice
 - Global anomalies (for existence proof ?)

- Free Overlap Dirac fermion Lüscher 2000
Spectral representation of free fermion propagator

$$aD = 1 - A(A^\dagger A)^{-1/2}, A = 1 - aD_w$$

$$D_w = \frac{1}{2} \left\{ \gamma_\mu \left(\partial_\mu^* + \partial_\mu \right) - a \partial_\mu^* \partial_\mu \right\}$$

$$\begin{aligned} & \langle \psi(x) \bar{\psi}(y) \rangle_{x_0 > y_0} \\ &= \int_0^\infty dE \int_{-\pi/a}^{\pi/a} \frac{d^3 \mathbf{p}}{(2\pi)^3} \rho(\mathbf{E}, \mathbf{p}) e^{-E(x_0 - y_0) + i\mathbf{p}(\mathbf{x} - \mathbf{y})} \end{aligned}$$

such that

$$dE d^3 \mathbf{p} \zeta^\dagger \rho(\mathbf{E}, \mathbf{p}) \zeta \geq 0$$

for all complex Dirac spinors.

Unitarity is OK for any value of a

$$\begin{aligned} \rho(\mathbf{E}, \mathbf{p}) &= (\gamma_0 \sinh E - i\gamma_k \bar{\mathbf{p}}) \\ &\times \left\{ \delta(E - \omega_{\mathbf{p}}) \theta \left(\cosh E - \frac{1}{2} \hat{\mathbf{p}}^2 \right) \frac{\cosh E - \frac{1}{2} \hat{\mathbf{p}}^2}{\sinh 2E} \right. \\ &\left. + \frac{1}{2\pi} \theta(E - E_{\mathbf{p}}) \frac{\{ \hat{\mathbf{p}}^2 (\cosh E - \cosh E_{\mathbf{p}}) \}^{1/2}}{\hat{\mathbf{p}}^2 (\cosh E - \cosh E_{\mathbf{p}}) + (\cosh E - \frac{1}{2} \hat{\mathbf{p}}^2)^2} \right\} \end{aligned}$$

Fujikawa & Ishibashi, Chiu

$$\gamma_5 (\gamma_5 D) + (\gamma_5 D) \gamma_5 = 2a^{2k+1} (\gamma_5 D)^{2k+2}$$

$$\Gamma_5 \equiv \gamma_5 - (\gamma_5 a D)^{2k+2}$$

$$\Gamma_5 (\gamma_5 D) + (\gamma_5 D) \Gamma_5 = 0$$

- Index relation :

$$\text{Tr} \Gamma_5 = n_+ - n_-$$

Eigenvalues and Eigenvectors of $\gamma_5 D$

1. Zero modes ($n_{\pm}$)

$$(\gamma_5 D) \phi_n = 0, \quad \gamma_5 \phi_n = \pm \phi_n$$

2. “Highest states” ($N_{\pm}$)

$$(\gamma_5 D) \phi_n = \pm \frac{1}{a} \phi_n, \quad \gamma_5 \phi_n = \pm \phi_n$$

$$\Gamma_5 \phi_n = 0$$

3. “Paired states”

$$0 < |\lambda_n| < \frac{1}{a}$$

$$(\gamma_5 D) \phi_n = \lambda_n \phi_n, \quad (\gamma_5 D) \Gamma_5 \phi_n = -\lambda_n \Gamma_5 \phi_n$$

- Explicit construction of solutions

$$H\gamma_5 + \gamma_5 H = 2H^{2k+2}, \quad H \equiv a\gamma_5 D$$

$$\gamma_5 H^2 = [\gamma_5 H + H\gamma_5] H - H [\gamma_5 H + H\gamma_5] + H^2 \gamma_5 = H^2 \gamma_5$$

$\Rightarrow$

$$H^{2k+1} \gamma_5 + \gamma_5 H^{2k+1} = 2H^{2(2k+1)}$$

$$\gamma_5 H^2 - H^2 \gamma_5 = 0$$

$H^{2k+1} \equiv H_{(2k+1)}$ satisfies the original GW rel. !

$\Rightarrow$ overlap construction

$$X_{(2k+1)} \equiv i(\gamma_\mu C_\mu)^{2k+1} + (B)^{2k+1} - \left(\frac{m_0}{a}\right)^{2k+1}$$

$$H_{(2k+1)} \equiv \gamma_5 \frac{1}{2} \left(1 + X_{(2k+1)} \left(X_{(2k+1)}^\dagger X_{(2k+1)} \right)^{-1/2} \right)$$

$$H = (H_{(2k+1)})^{1/(2k+1)}$$

in the basis where $H_{(2k+1)}$ is diagonal

- Consistency checked
 - No species doublers
 - Chiral anomaly
 - Locality
 - * Analytic in free theory
 - * Locality of $\Gamma_5 \equiv \gamma_5 - (\gamma_5 a D)^{2k+2}$
 - * Estimation of localization length $\propto 2k+1$
 - Spectrum

T.W. Chiu

- GW relation in odd dimensions

Bietenholz & Nishimura 2000

3D Dirac fermion (two components)

$$S = a^3 \sum_x \bar{\psi}(x) D^{(3)} \psi(x)$$

GW rel. in 3D

$$D^{(3)} + D^{(3)\dagger} = a D^{(3)\dagger} D^{(3)}$$

or

$$a D^{(3)} = 1 - V, \quad V^\dagger V = 1$$

$$\mathcal{R} : x \mapsto -x, \quad U^P(x, \mu) = U(-x, \mu)^\dagger$$

$$D^{(3)}(U)^\dagger = \mathcal{R} D^{(3)}(U^P) \mathcal{R}$$

Action is invariant under the following parity transformation

$$U(x, \mu) \mapsto U^P(x, \mu)$$

$$\psi(x) \mapsto i \mathcal{R} V \psi(x)$$

$$\bar{\psi}(x) \mapsto i \bar{\psi}(x) \mathcal{R}$$

Fermion measure transforms non-trivially $\Rightarrow$ Parity anomaly

$$d\psi d\bar{\psi} \mapsto (\det V)^{-1} d\psi d\bar{\psi}$$

- Relation to 4D GW fermion

Through dimensional reduction

4D Dirac fermion $\Rightarrow$ a *doublet* of 3D Dirac fermion

4D GW fermion $\Rightarrow$ a *doublet* of 3D GW fermion

How?

- Overlap formalism in odd dimensions

Narayanan & Nishimura, Neuberger & YK

“In odd dimensions, a phase choice is possible so that the overlap formula is given by the determinant of a gauge-covariant operator D ”

Neuberger & YK 1997

$$D = \frac{1}{2a} \left(1 + X(X^\dagger X)^{-1/2} \right)$$

In 3D

$$X = D_w^{(3)} - m_0/a \quad (0 < m_0 < 2)$$

$$D_w^{(3)} = \frac{1}{2} \sum_{\mu=1}^3 \left\{ \sigma_\mu (\nabla_\mu + \nabla_\mu^*) - a \nabla_\mu^* \nabla_\mu \right\}$$

This gives a solution of the GW rel. in 3D

Parity anomaly:

$$\det V = \det \left(X(X^\dagger X)^{-1/2} \right) = \frac{\det(D_w - m_0/a)}{|\det(D_w - m_0/a)|}$$

In the continuum limit, the Chern-Simons term is induced from Wilson-Dirac fermion with a negative mass

So, Coste-Lüscher

⇐ a natural definition of lattice CS term

Bietenholz & Nishimura

- Universality

*Heavy Dirac fermions in odd dimensions do not decouple
Remnant as a CS term*

Coefficient of the induced CS term

$$c_0 = c_\infty + \pi \quad c_\infty = 2\pi n$$

n levels the universality classes Coste-Lüscher

By choosing $D^{(3)}$ as

$$X = \left(D_{\text{w}}^{(3)} - 1/a \right) \left(1 - 2a D_{\text{w}}^{(3)} \right)^{2n}$$

$$2R = \frac{1}{4n+1} \delta_{x,y}$$

Cover all possible universality classes

1. Unitarity

QCD with Overlap Dirac fermions: OK

$\Leftarrow$ Domain wall fermion (Wilson fermion)

- Hamiltonian formalism
- Chiral gauge theories ?

2. Seek new Dirac operators with better local and chiral properties ($\Rightarrow$ Numerical applications)

Algebraic extension of GW rel.

$\Rightarrow$ Better chiral (scaling) properties

- How to improve locality property in general ?
- ...

3. GW fermions in odd dimensions

Parity transformation

Parity anomaly $\Rightarrow$ CS term

Non-universality

Construction of chiral gauge theories:

- Domain wall fermion can be used to construct chiral gauge theories
- It realizes the Descent relation over 6, 5, 4-dim. lattices
- Remaining issues
 - Classification of topological fields on the lattice
 - Global anomalies
 - ...

GW (Dirac) fermion:

- In QCD with Overlap Dirac fermions, unitarity is OK (?!)
- GW relation is also useful in odd dimensions
- new series of Dirac operators with better chiral properties
(How to improve locality in general ?)
($\implies$ Numerical applications)