



The BAIKAL Neutrino Telescope: Results and Plans

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Amanda-IceCube Meeting, Uppsala, October 2004





- **The detector: NT-200**

- **New Results:**

- **Atm. Neutrinos, WIMPs, Monopoles,**

- **Cascades → AP neutrinos, HE-muons, GRB**

1998-2000 data sample: Neutrino2004/Paris, ECRS2004/Florence

- **The smart upgrade: NT-200+**

...commissioning in April 2005



Collaboration

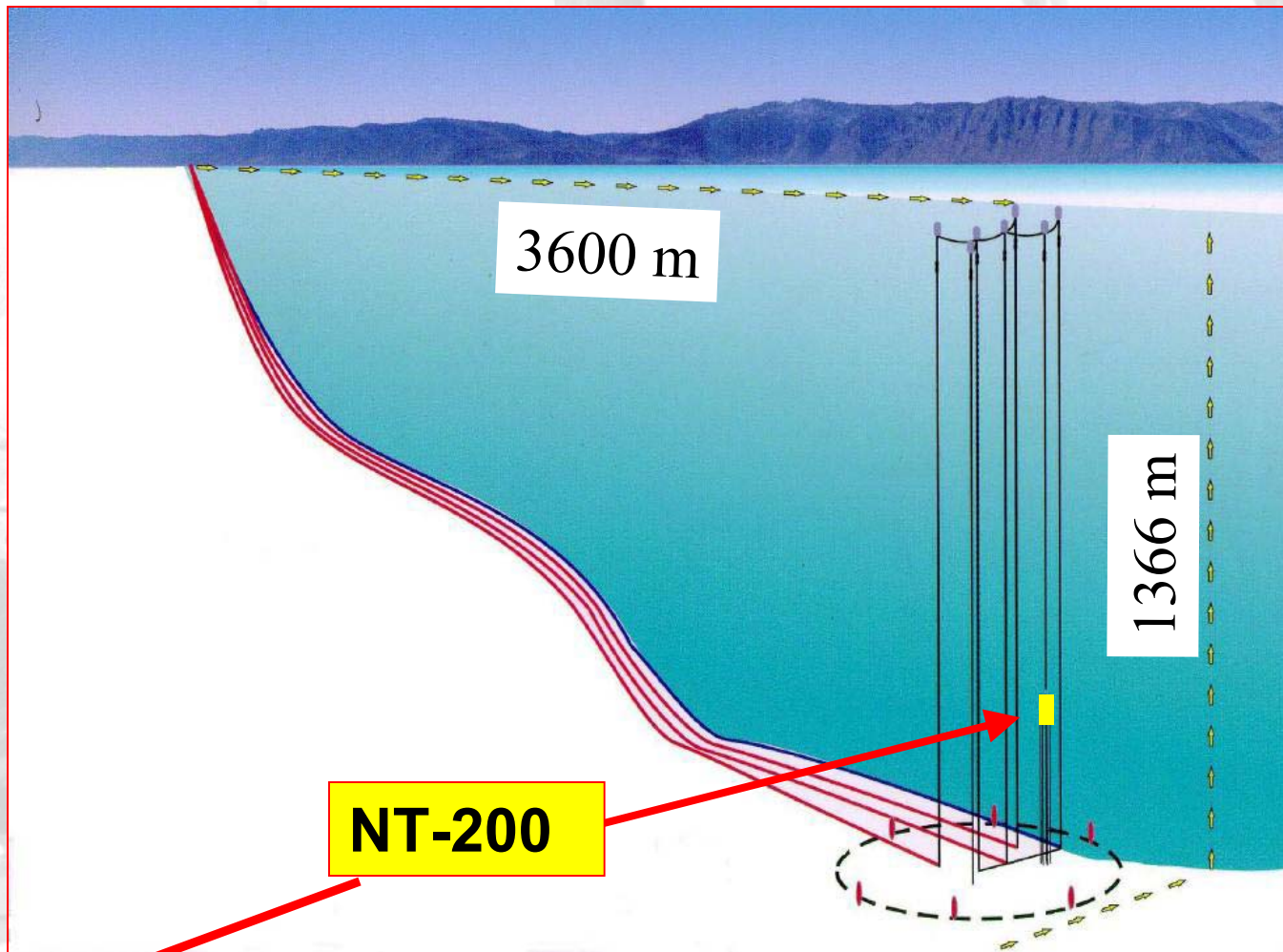
Russia - Germany

- Institute of Nuclear Research, Moscow
- Moscow State University
- DESY Zeuthen
- Irkutsk State University
- Nishni Novgorod State Technical University
- State Marine Technical University, St.Petersburg
- Kurchatov Institute, Moscow
- JINR, Dubna

~45 authors

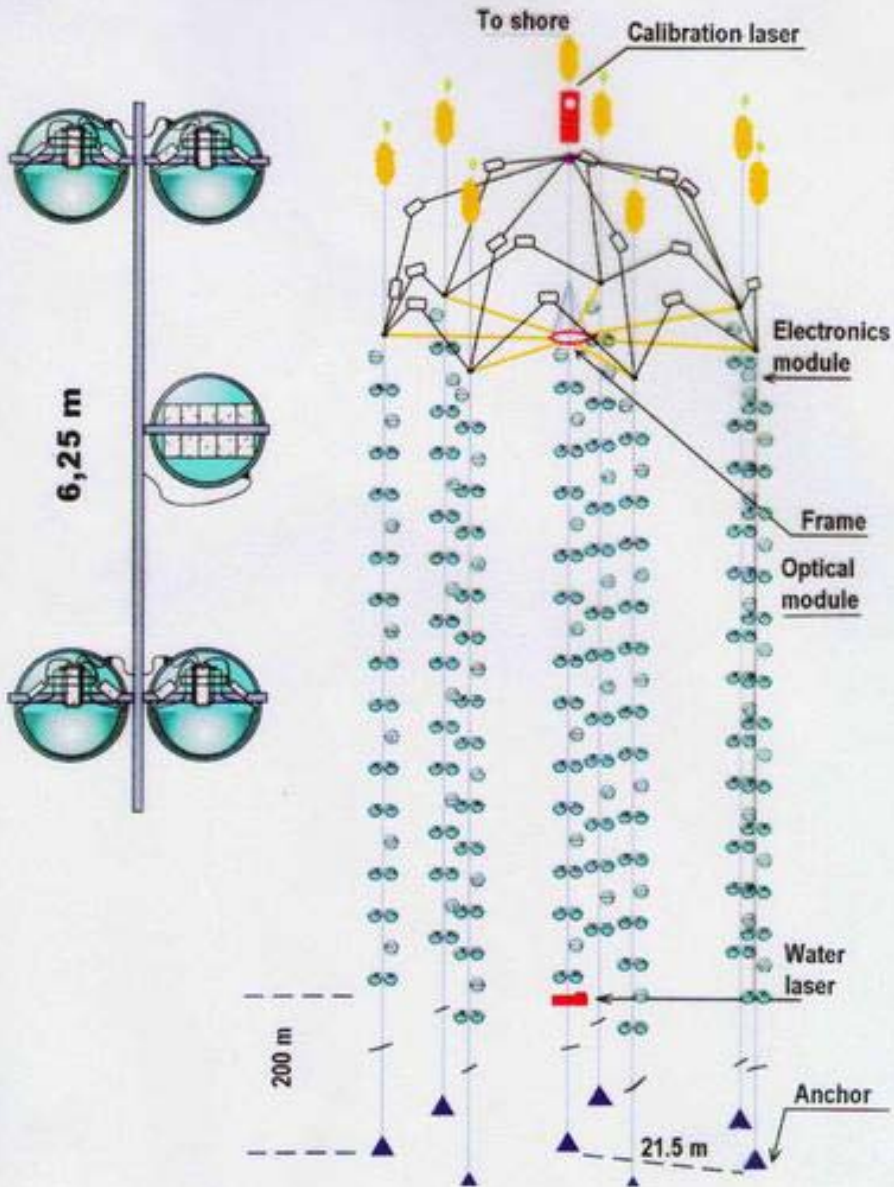


The Site



- 4 cables x 4km to shore.
- 1070m depth

NEUTRINO TELESCOPE NT-200



- 8 strings: 72m height
- 192 optical modules
- pairwise coincidence
→ 96 space points
- calibration with N-lasers
- timing ~ 1 nsec
- Dyn. Range ~ 1000 pe

Effective area: 1 TeV ~ 2000 m²
 Eff. shower volume: 10 TeV ~ 0.2 Mt



Height x \emptyset = 70m x 40m, $V_{geo} = 10^5 m^3 = 0.1 Mt$ on

Quasar PMT: d = 37cm

Optical Module - Pair (Coincidence)



Optical Module - Pair (Coincidence)



R. Wischnewski, Uppsala, Oct.2004

Baikal - History

- Since 1980 - Site test + early R&D started
- 1989/90 - Proposal NT-200, start construction
- 1993 - **NT-36** *started 13.4.93* (36 PMTs at 3 strings)
 - ❑ The First Underwater Array ever built
 - ❑ 3-dimensional Muon reconstruction
 - ❑ Verify MC: BG-suppression & Water ...
- **1998 - NT-200** *commissioned 06.04.98* (192 PMTs at 8 strings)
 - ❑ Start full Physics program
- since 1998: Routine operation



Selected Results

- Low energy phenomena

- Atmospheric neutrinos
- WIMP Neutrinos

- Search for exotic particles

- Magnetic monopoles

- High energy phenomena

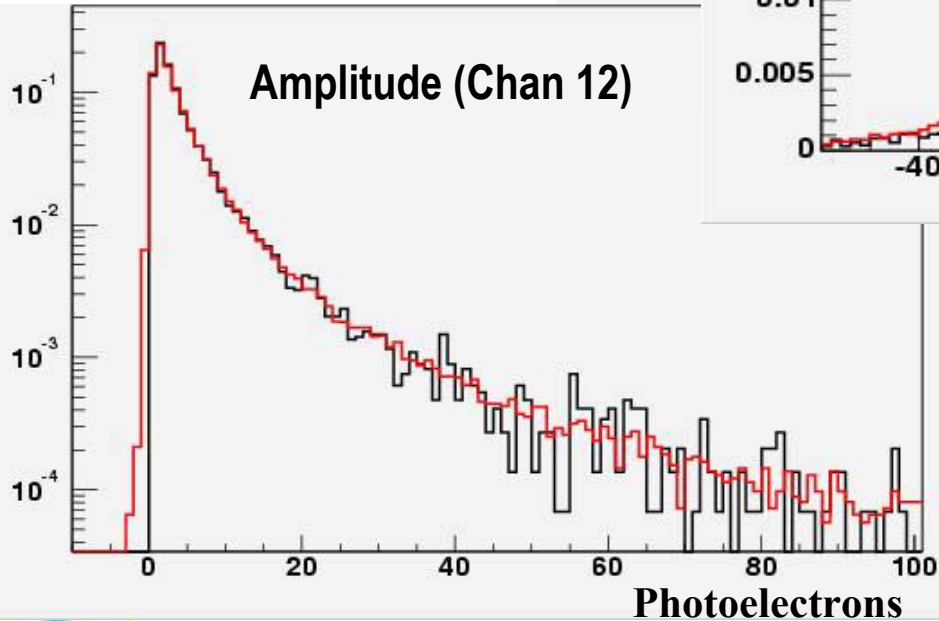
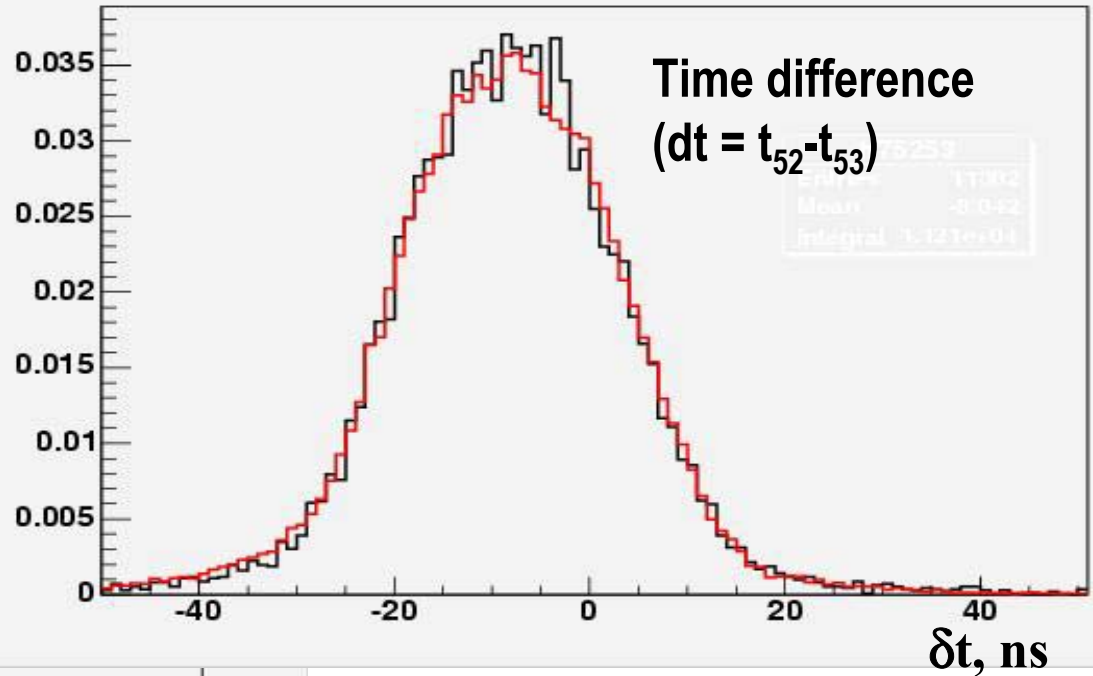
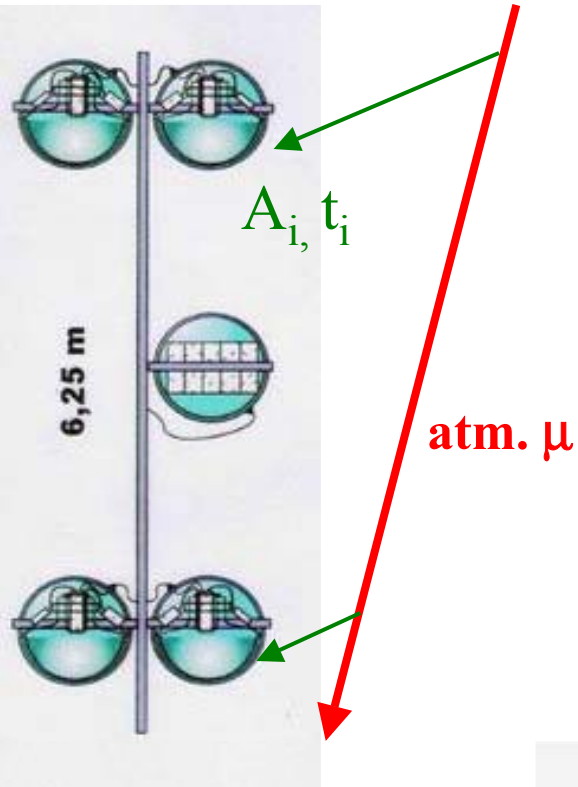
- Diffuse neutrino flux
- Neutrinos from GRB
- Prompt muons and neutrinos

Data samples NT-200

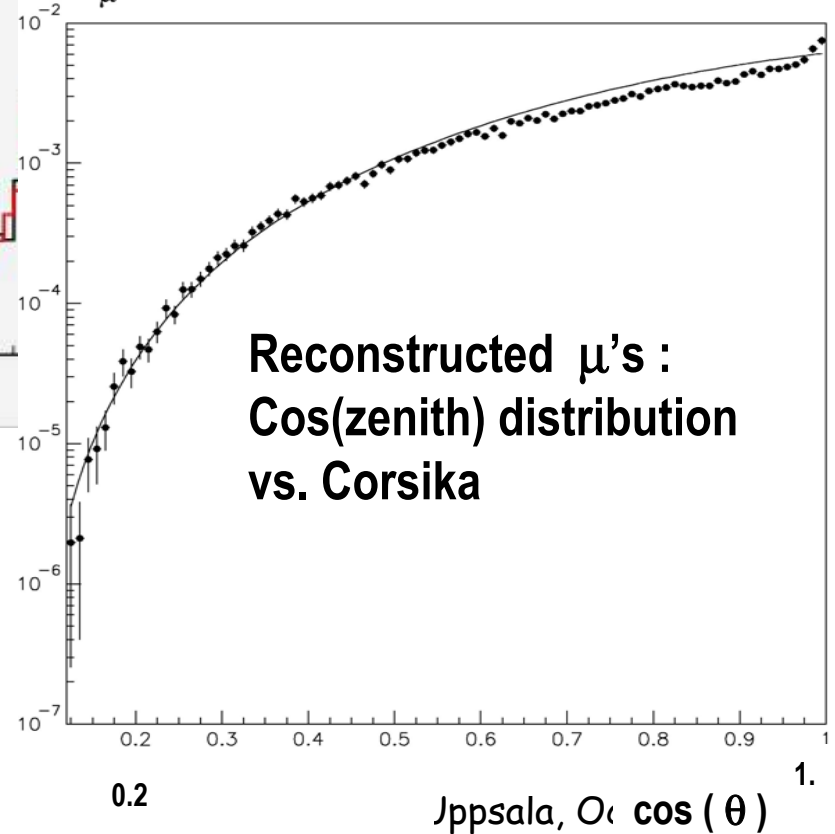
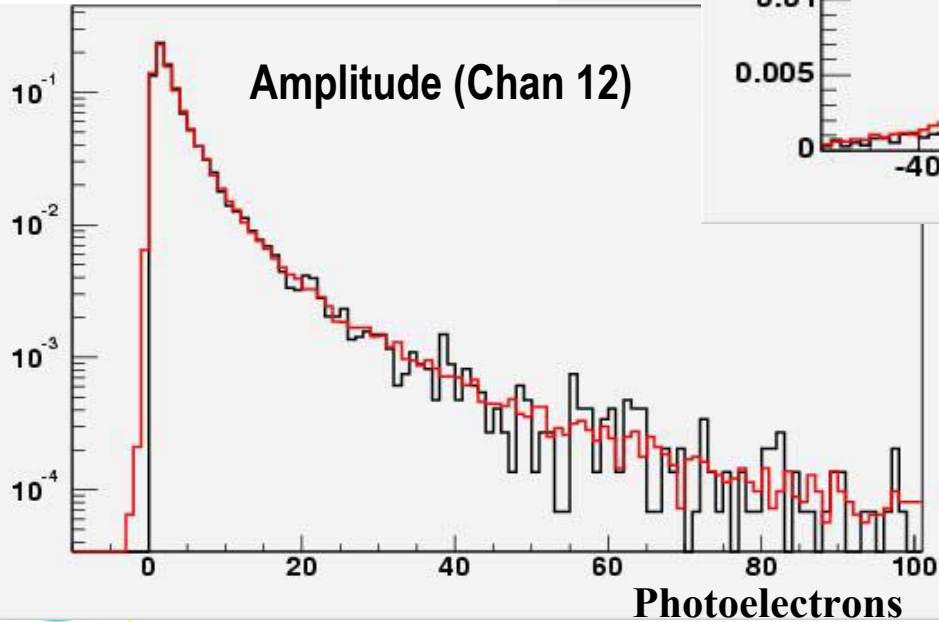
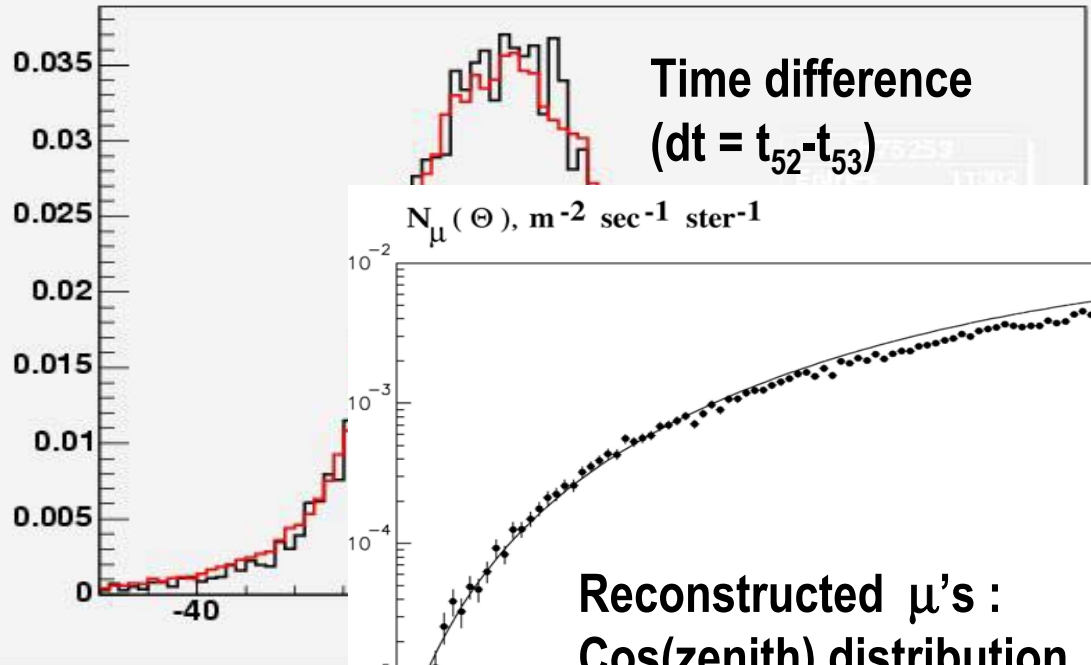
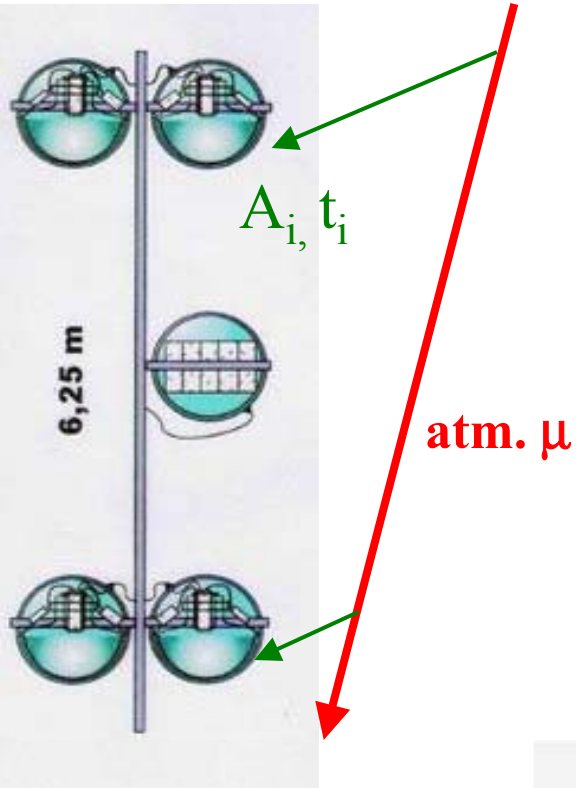
- 1998-1999:
502 livetime days
(Apr.98-Feb.00)
- 1998-2000:
780 livetime days
(Apr.98-Feb.01) (this work)
- 2001-2002:
in progress



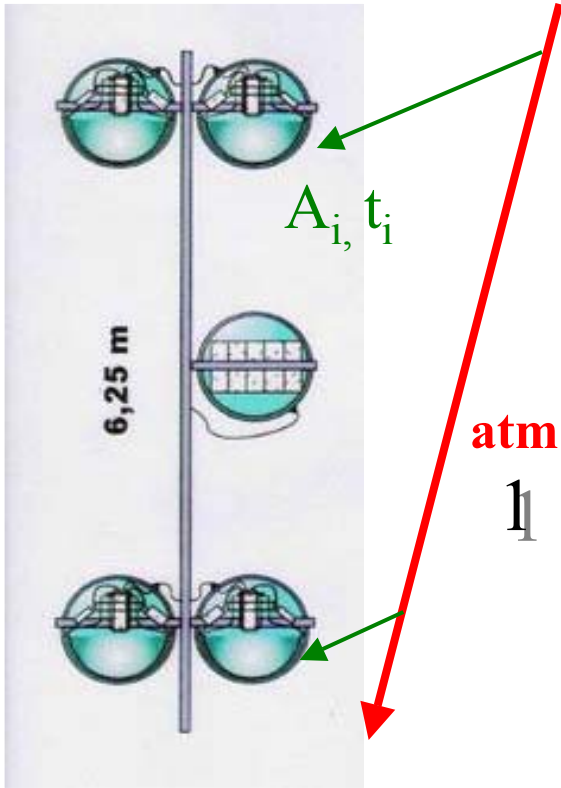
Atmospheric muons: Calibration Beam



Atmospheric muons: Calibration Beam

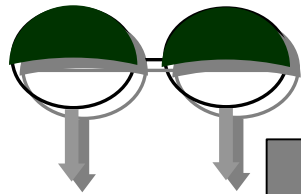


Atmospheric muons (2): Calibration Beam

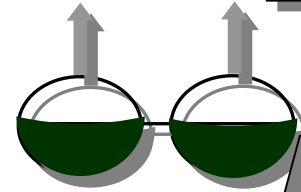


atm. μ

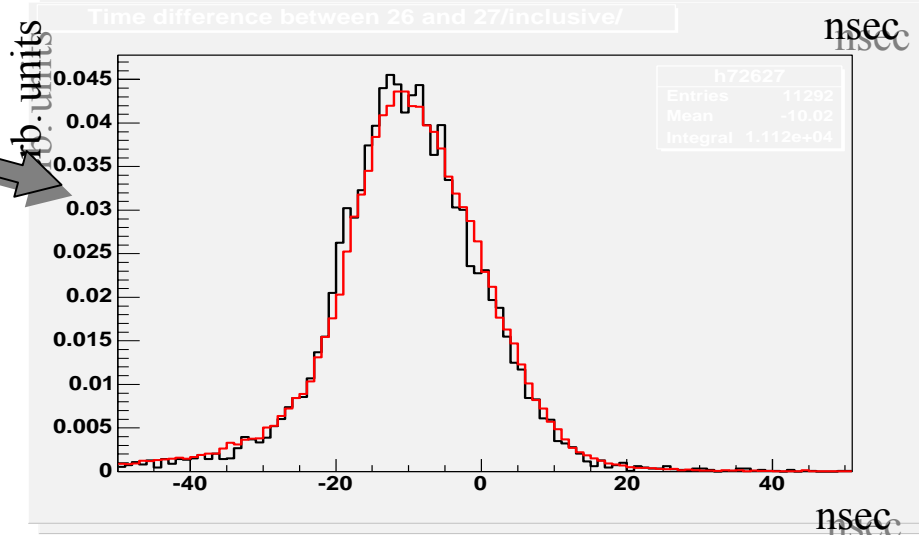
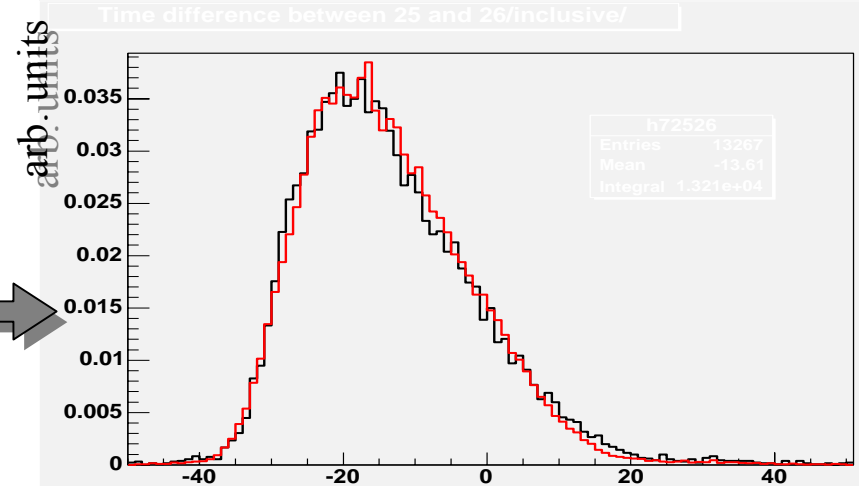
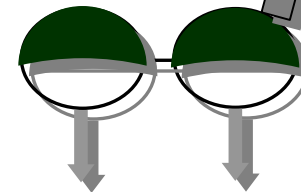
1



2



3

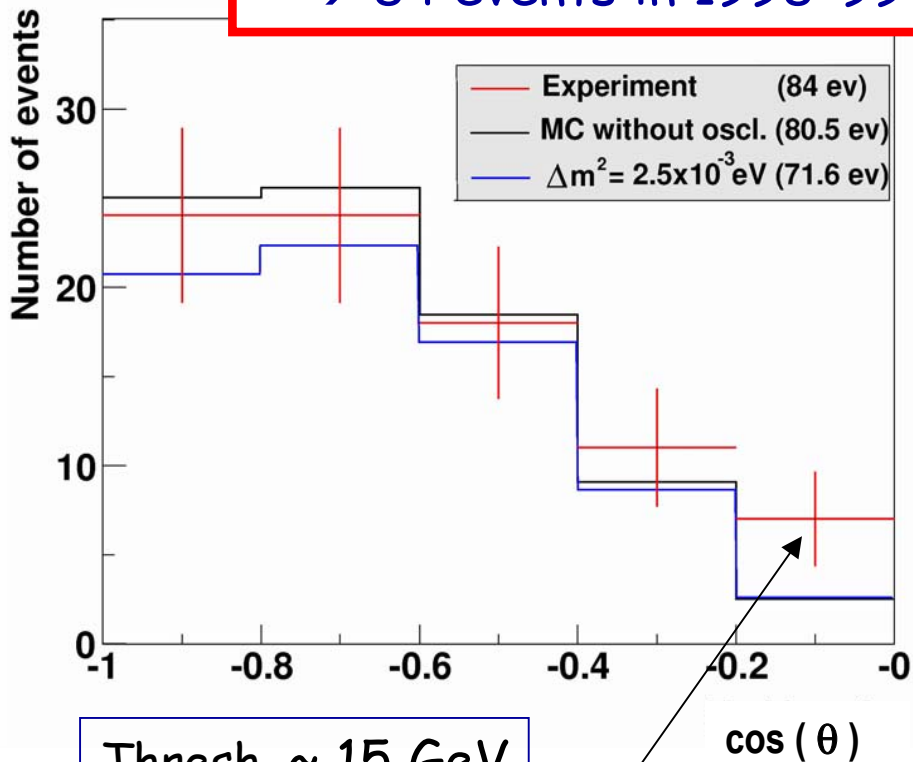


Time Differences $dt_{ij} = t_i - t_j$
 vary for topological configuration,
 threshold, ...

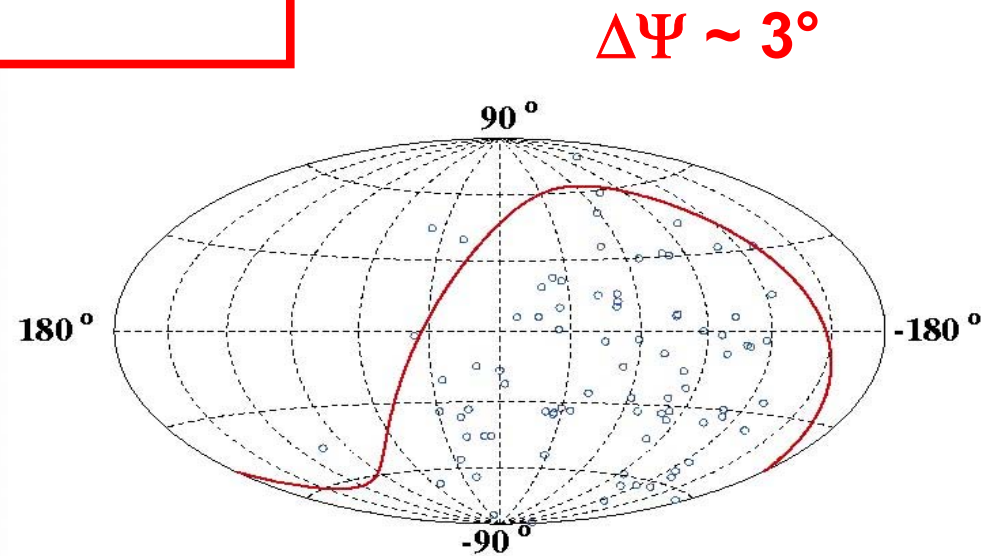


Atmospheric Muon-Neutrinos

- 3-dimensional track reconstruction
- high BG suppression
→ 84 events in 1998+99



BG dominated bin

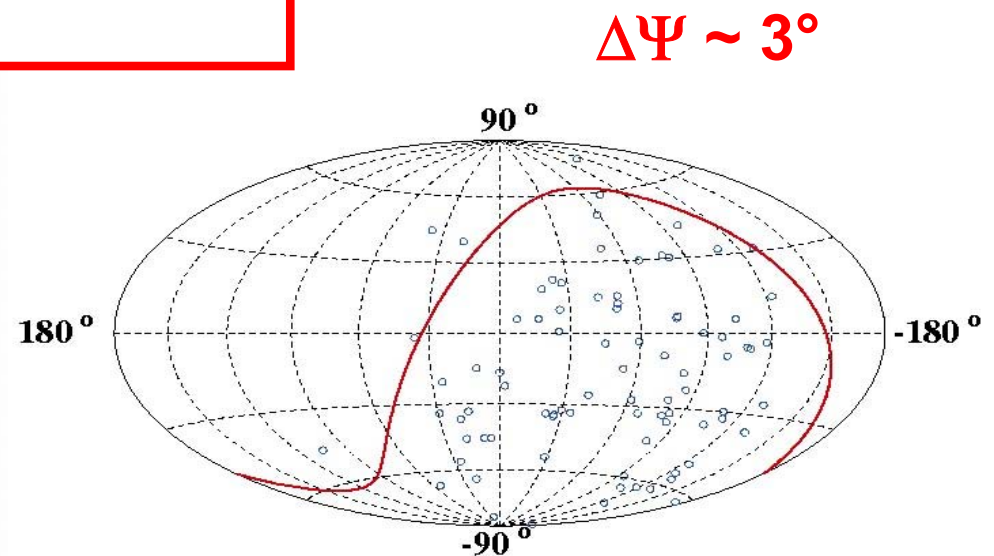
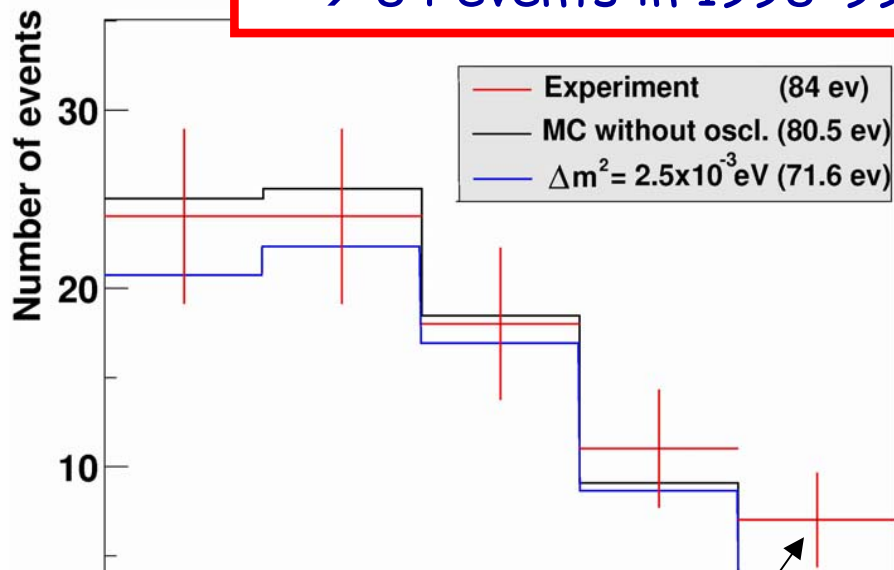


Skyplot
(galactic coordinates)



Atmospheric Muon-Neutrinos

- 3-dimensional track reconstruction
- high BG suppression
→ 84 events in 1998+99



Soon to come:

- a higher statistics neutrino sample („weaker criteria”) for PointSource Search
- Muon-Neutrino GRB analysis

BG dominated bin

Skyplot
(galactic coordinates)



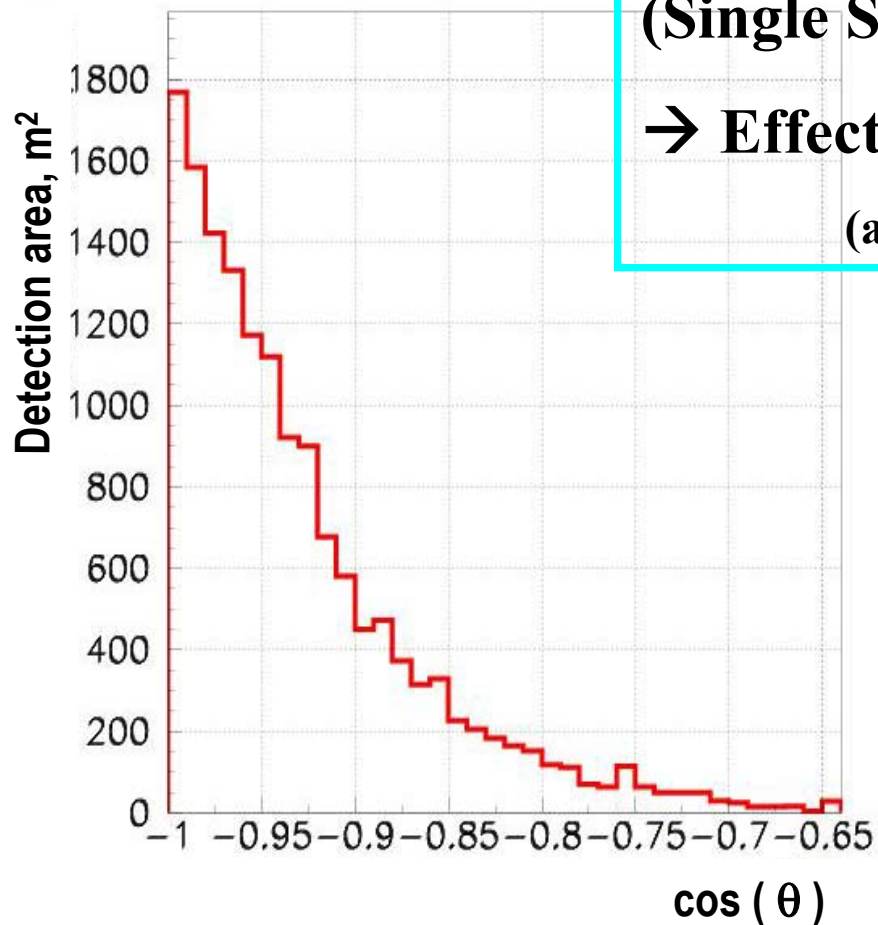
WIMP Search from Earth

Tailored “Vertical Track Reconstruction”

(Single String detection to maximize Λ_{eff})

→ Effective Area $> 10^3 \text{ m}^2$

(after all cuts)



WIMP Search from Earth

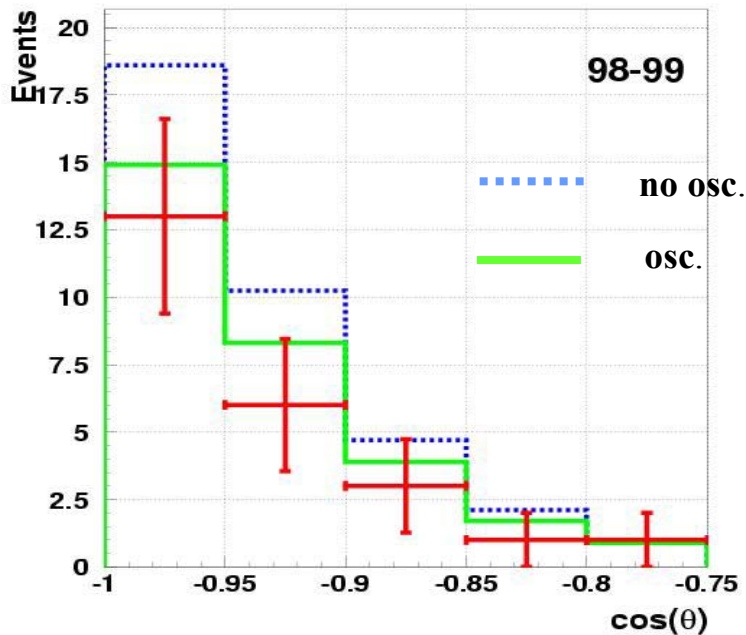
502 days livetime NT-200 (98+99)

MC: Bartol-96

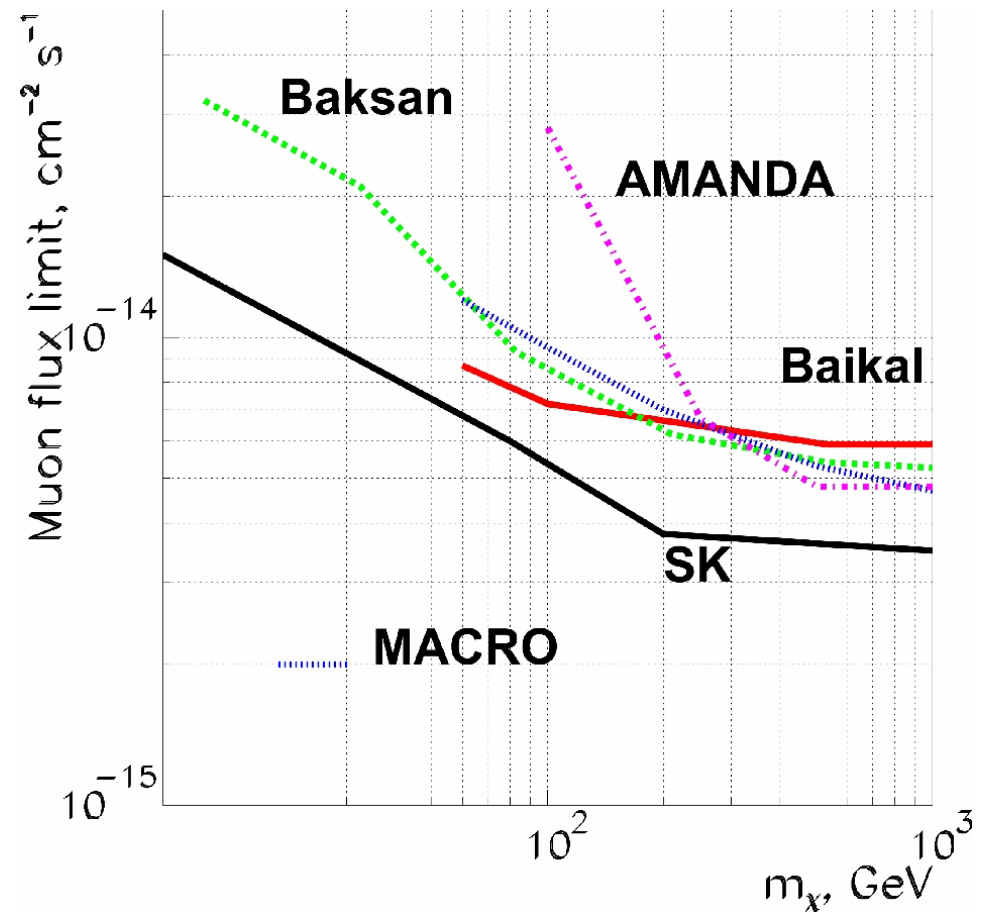
24 evts - experiment

36.6 evts - prediction w/o oscillations

29.7 evts - prediction w/ oscillations



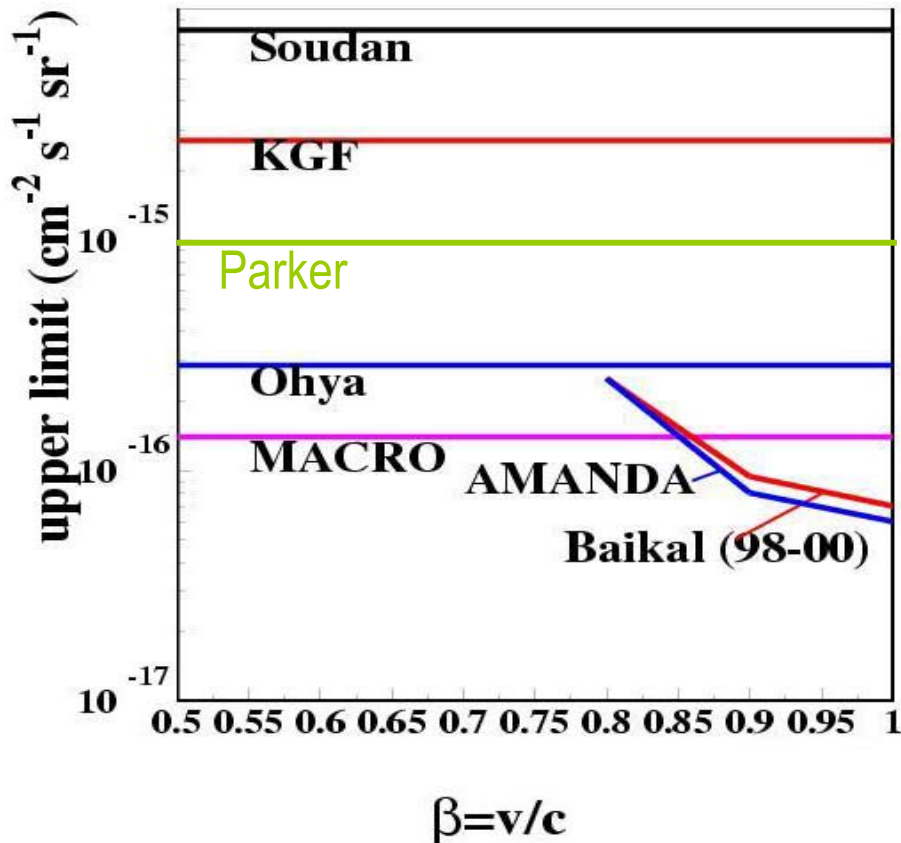
Limit on excess neutrino induced upward muon flux 90% c.l. limits from the Earth (502 days NT-200 livetime, $E_\mu > 10$ GeV)



Search for fast Monopoles ($\beta > 0.8$)

Monopole limit (90% C.L.)

780 livedays



$$N_{\gamma_{\text{monop}}}(\lambda) = n^2 (g/e)^2 N_{\gamma\mu}(\lambda) = 8300 N_{\gamma\mu}(\lambda)$$

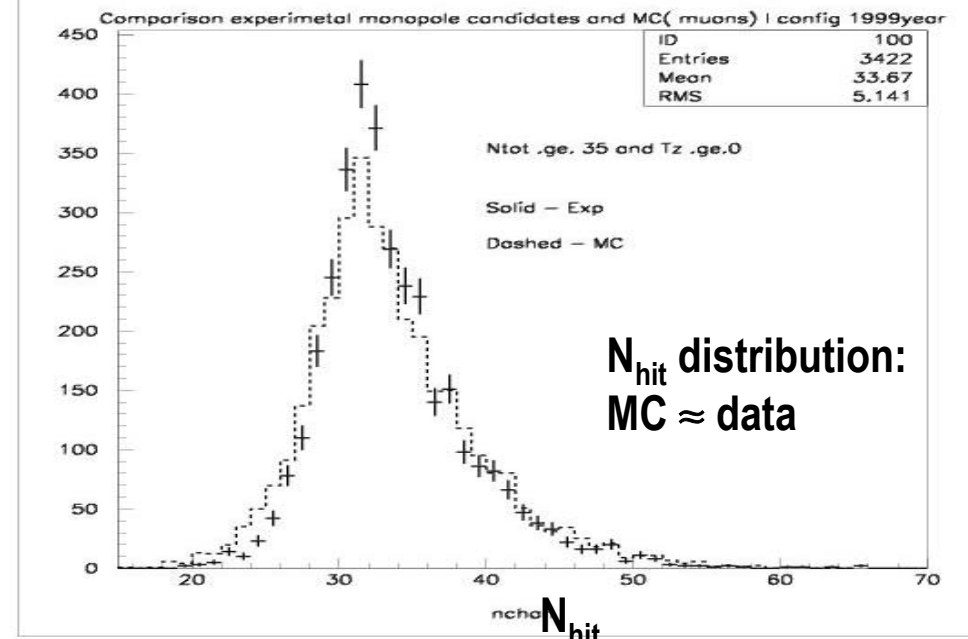
$$g = 137/2, \quad n = 1.33$$

Bright light source: 8300 x muon

Monopole selection criteria:

- hit channel multiplicity: $N_{\text{hit}} > 35$ ch,
- upward track: $\Sigma(z_i - z)(t_i - t) / (\sigma_t \sigma_z) > 0.45$, $\theta_\mu > 100^\circ$

Background : atmospheric muons



Search for Slow Massive Monopoles ($10^{-5} < \beta < 10^{-3}$)

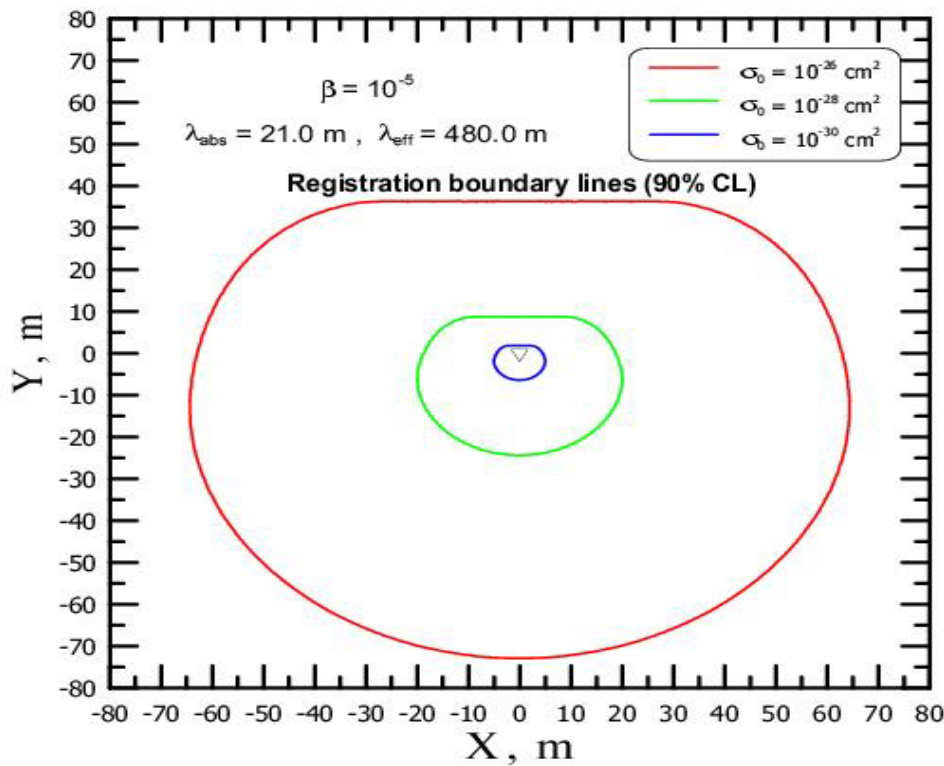
$$\sigma_{\text{cat}} = 0.17\sigma_0 / \beta^2, \quad 10^{-5} < \beta < 10^{-3}$$

$$M + p \rightarrow M + e^+ (+\pi \dots), \quad N_\gamma \sim 10^5$$

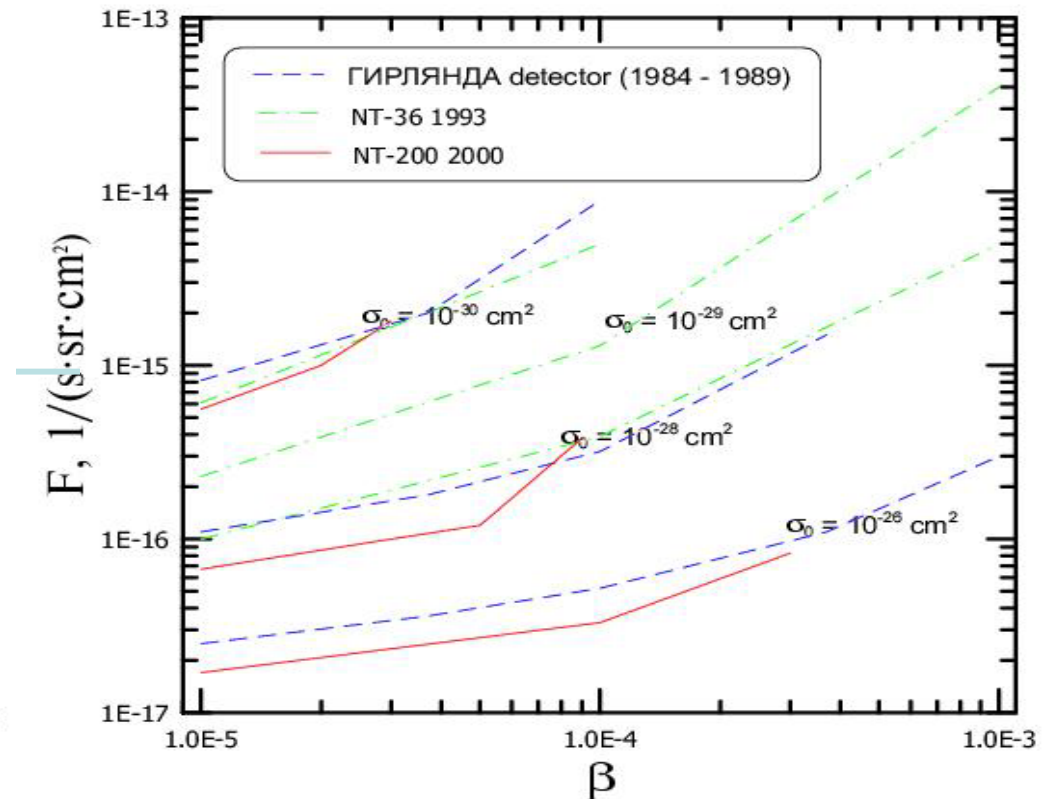
NT-200 – capable to detect massive bright objects (GUT-monopoles, nuclearites, Q-balls ...):

Monopole Trigger: $N_{\text{local}} > 4$ within $dt = 500 \mu\text{sec}$

Selection: $N_{\text{ch}} > 1$ with $N_{\text{local}} > 14$



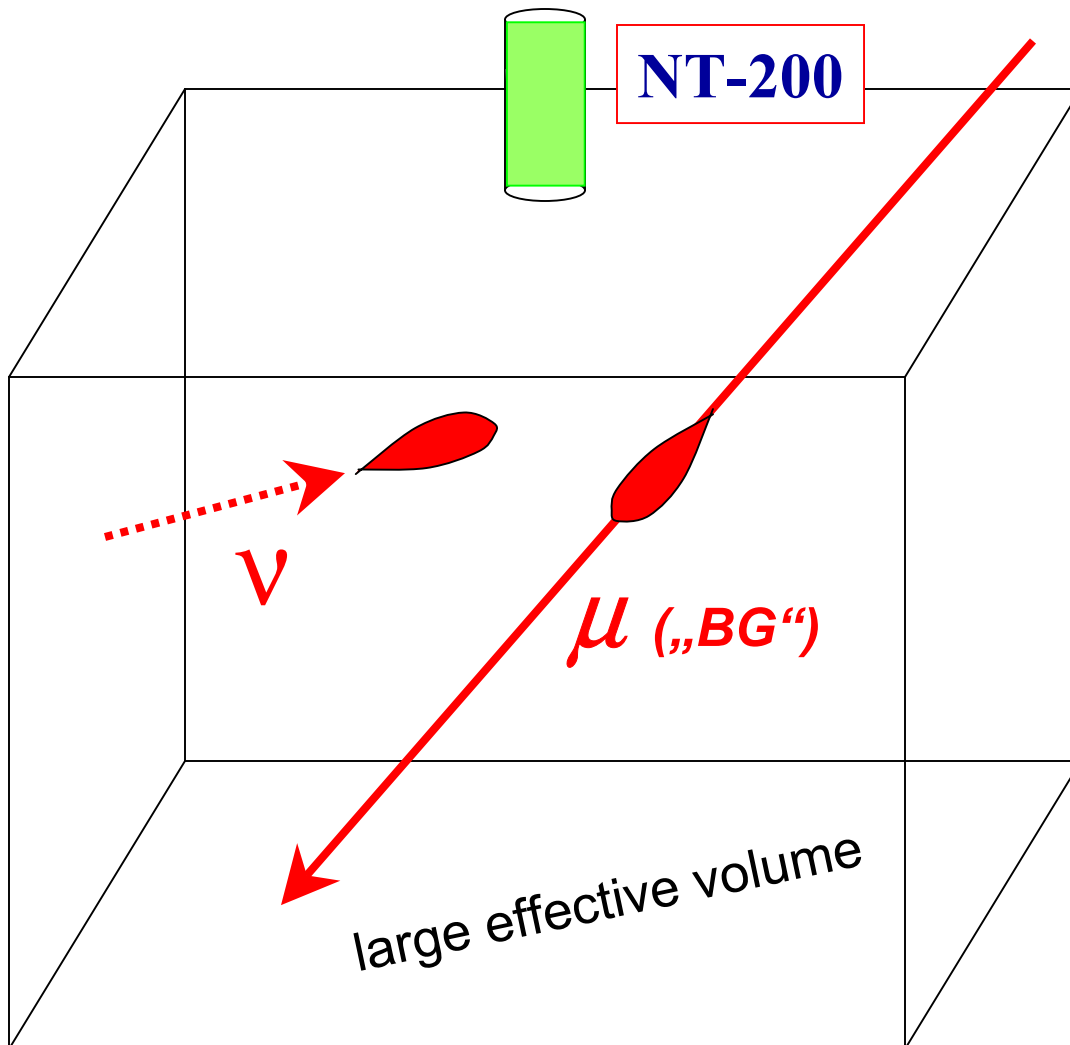
Magnetic monopole visibility boundaries



Magnetic monopole Flux limit

Search for High Energy Cascades

NT-200 is used to watch the volume below for cascades.



Look for upward moving light fronts.

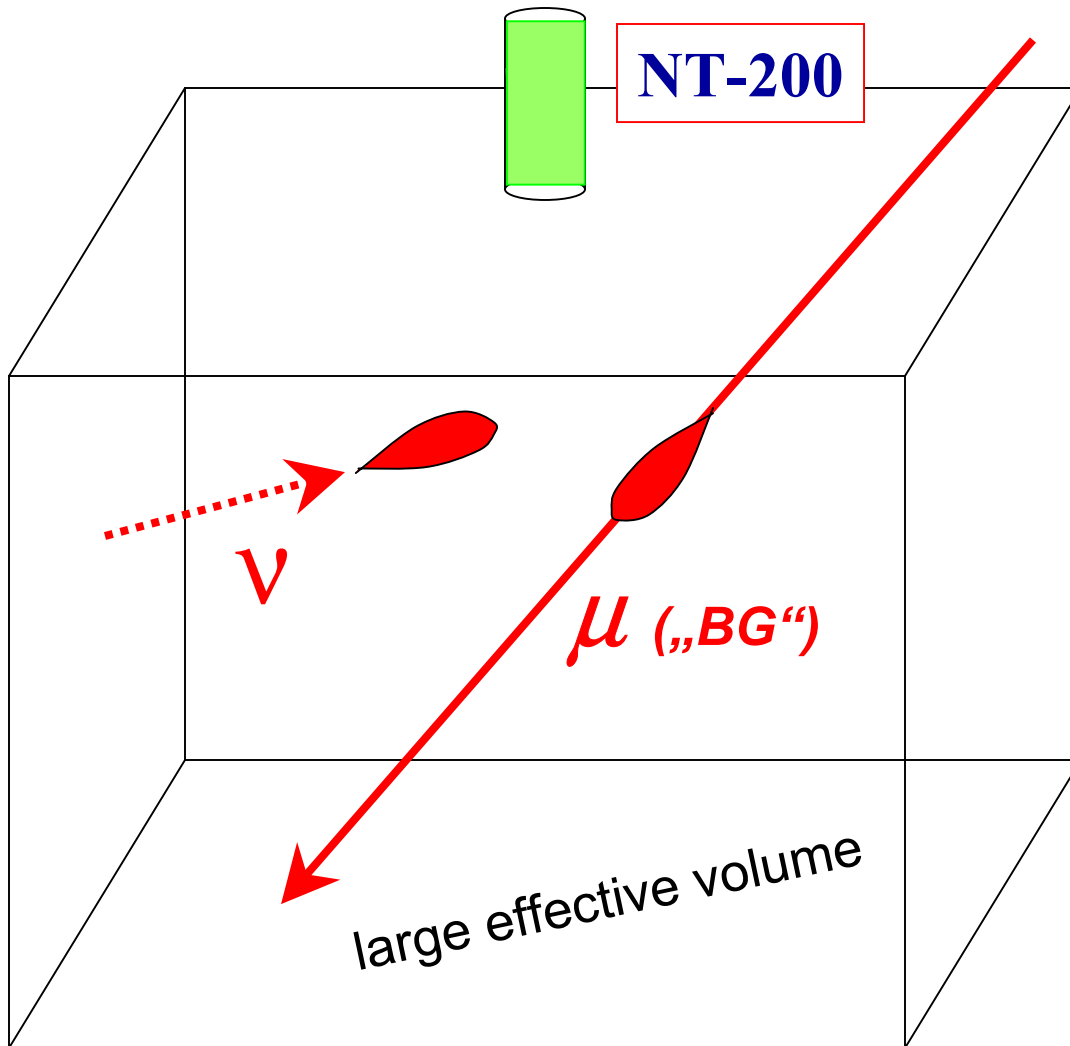
Signal:
isolated cascades from neutrino interactions

Background :
Bremsshowers from h.e. downward muons

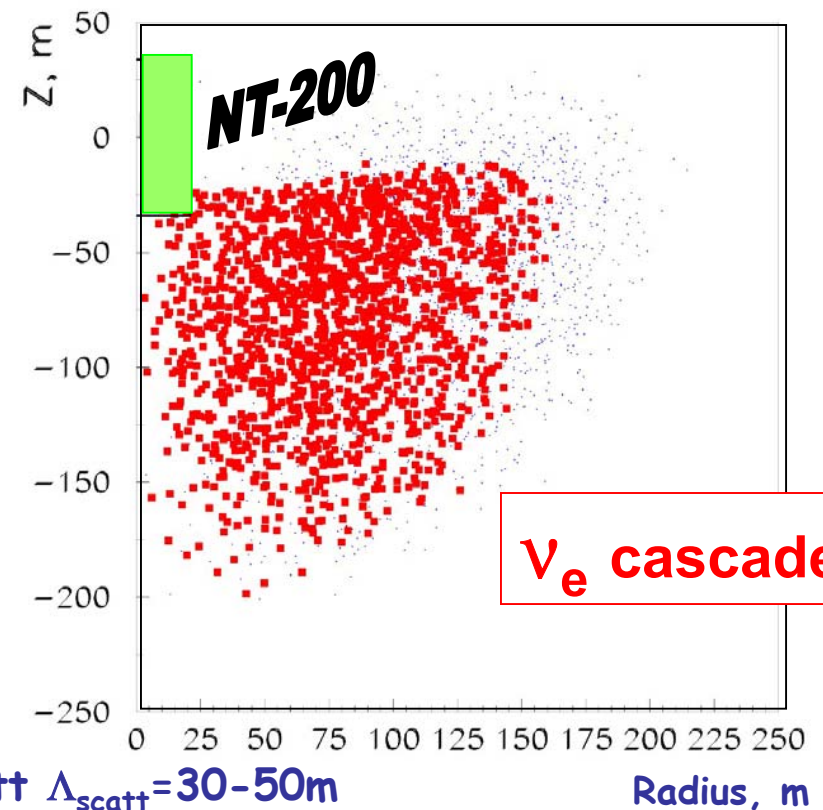
Final rejection of background by „energy cut“ (N_{hit})

Search for High Energy Cascades

NT-200 is used to watch the volume below for cascades.



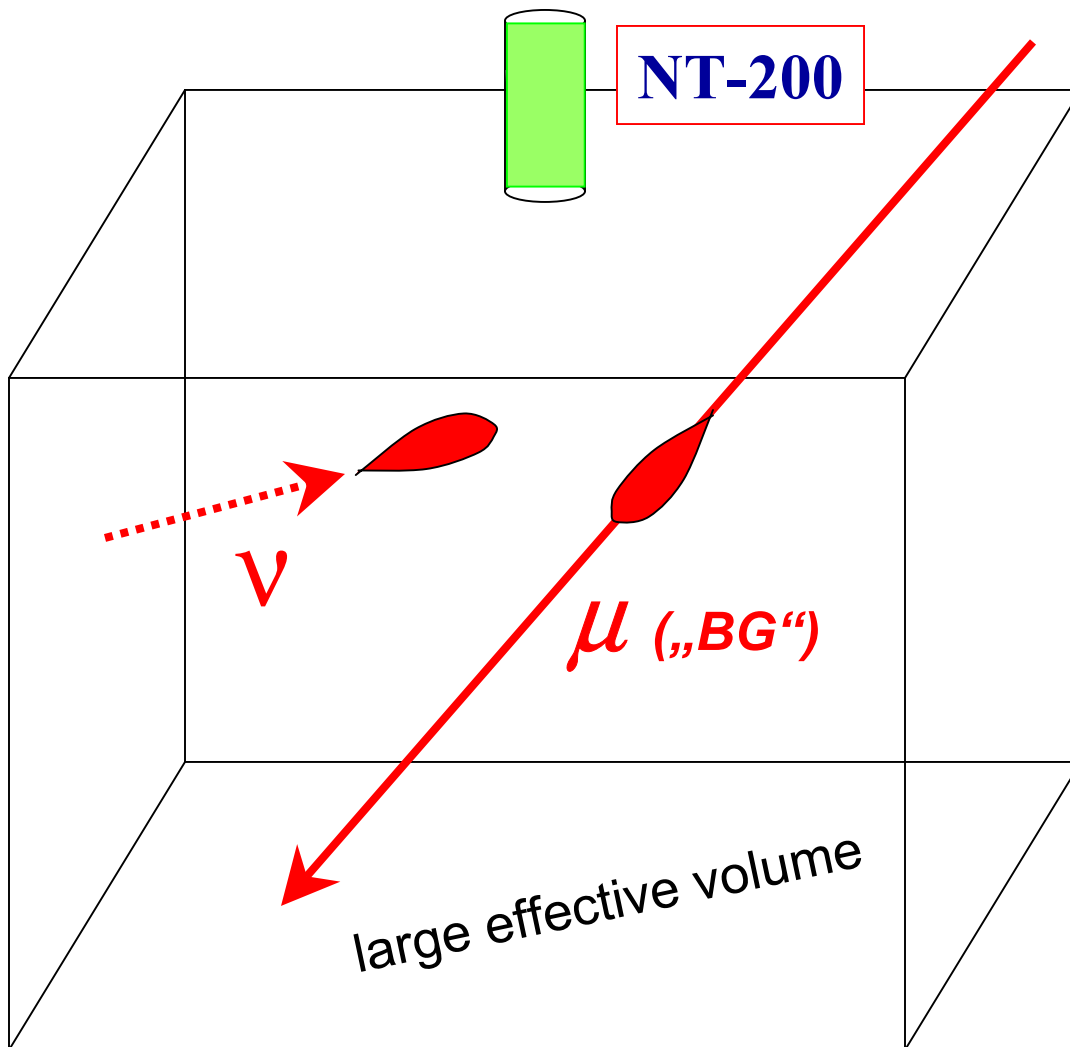
Look for upward moving light fronts.



Allowed by excellent scatt $\Lambda_{\text{scatt}}=30-50m$

Radius, m

Search for High Energy Cascades



NT-200 is used to watch the volume below for cascades.

Physics topics:

- HE cascades from
 $\nu_e \nu_\mu \nu_\tau$ - NC/CC

- Diffuse astroph. flux
- GRB correlated flux

- HE atmospheric muons
(the „BG“ to ν 's)

- Prompt μ
- Exotic μ

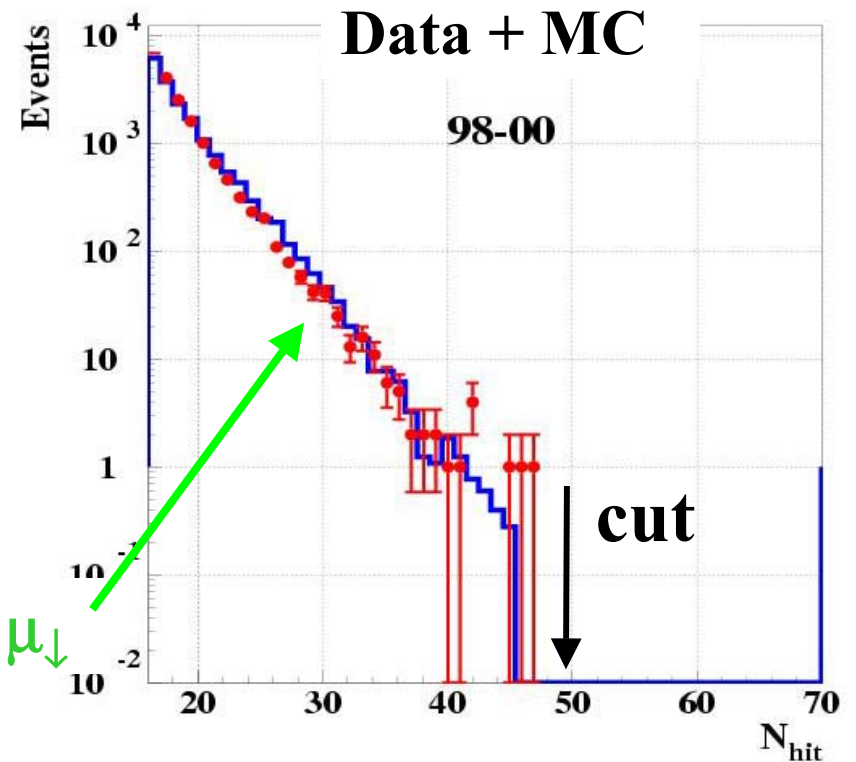
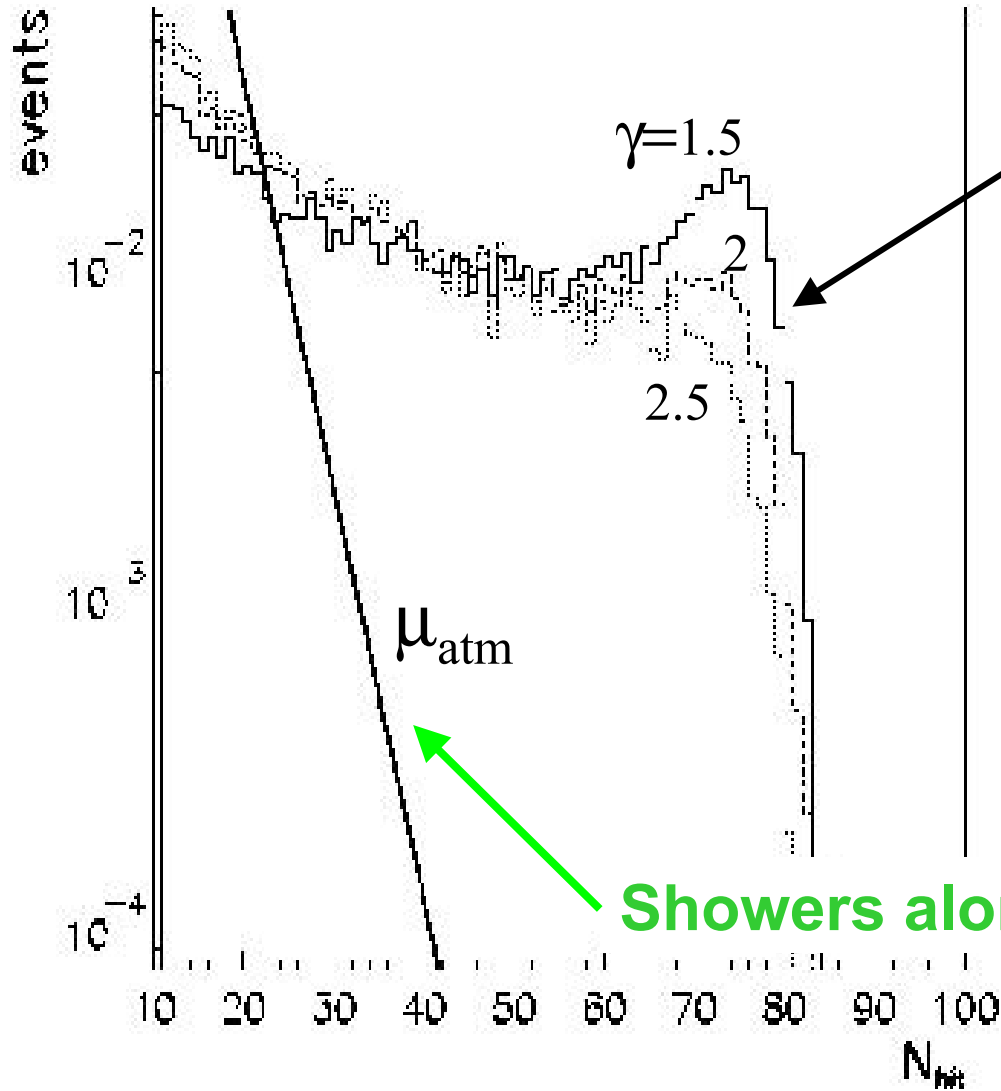
- ...

Selecting HE Cascades

Hard spectra pile up in the “energy parameter” N_{hit}

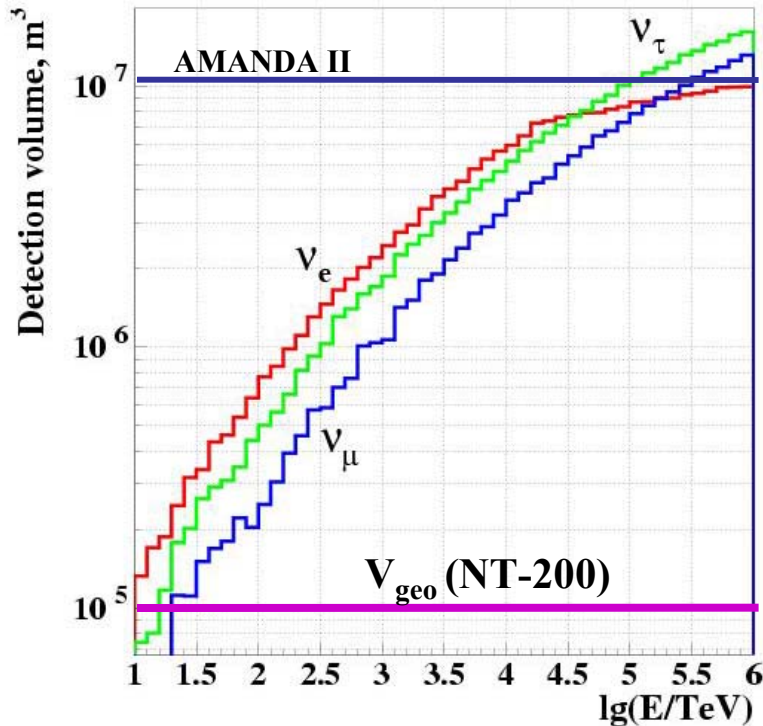
$$\Phi_v = AE^{-\gamma}$$

Shape of signal in N_{hit} distribution
($\gamma=1.5, 2.0, 2.5$)



Diffuse Flux ν_e, ν_τ, ν_μ Limit

Effective volume vs. E



$V_{\text{eff}} > 1 \text{ Mton at } 1 \text{ PeV}$

The 90% C.L. “all flavour” Limit from NT-200 (780 days) on the DIFFUSE NEUTRINO FLUX

Assuming $\nu_e : \nu_\mu : \nu_\tau = 1 : 1 : 1$ at Earth (1 : 2 : 0 at source) + for a $\gamma=2$ spectrum $\Phi_\nu \sim E^{-2}$ ($10 \text{ TeV} < E < 10^4 \text{ TeV}$)

$$E^2 \Phi_\nu < 1.0 \cdot 10^{-6} \text{ GeV cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1} \text{ (Baikal 2004)}$$

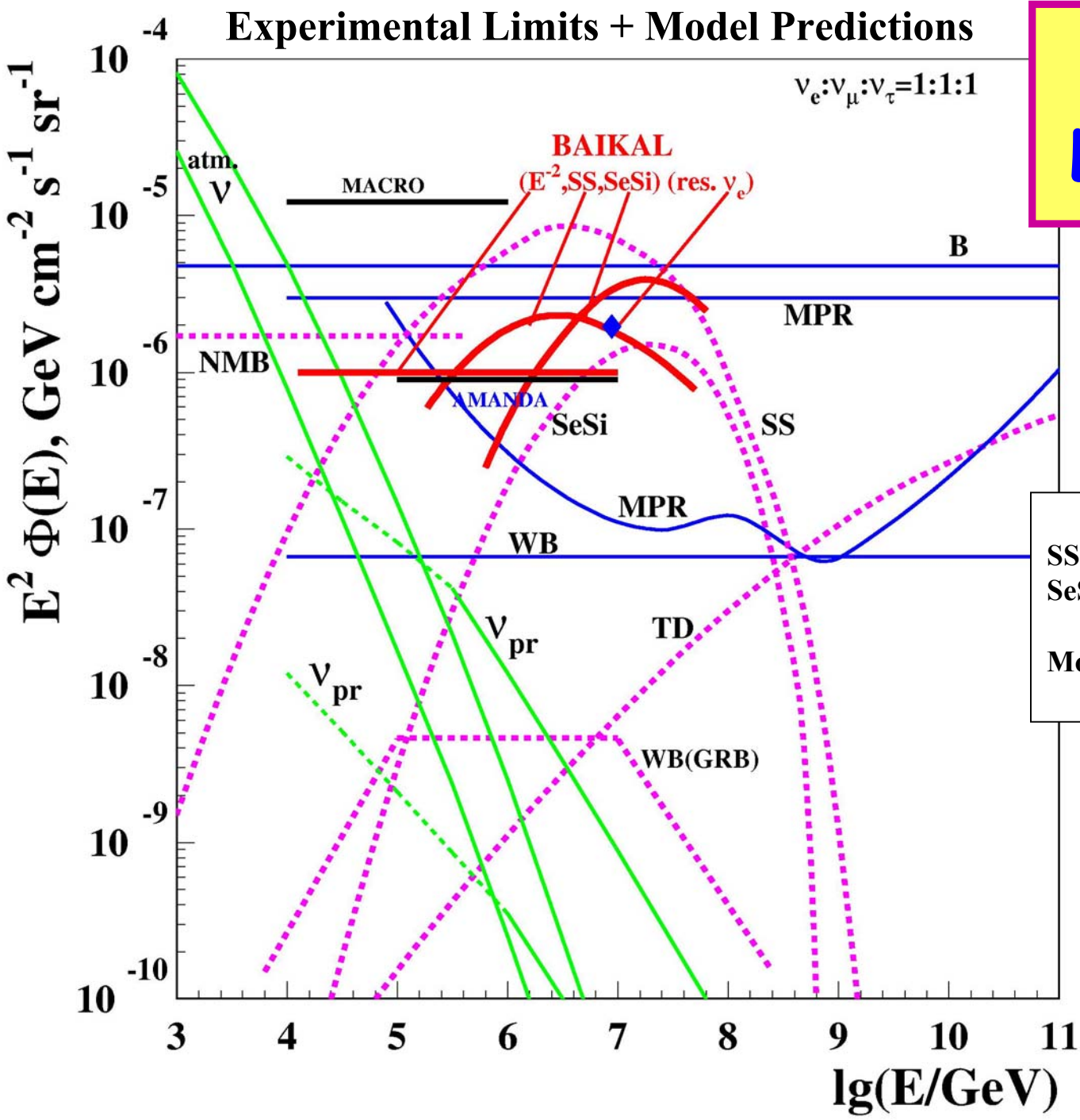
$$E^2 \Phi_\nu < 0.86 \cdot 10^{-6} \text{ GeV cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1} \text{ (AMANDA-II 2004)}$$

90% C.L. Limit via W-RESONANCE production ($E = 6.3 \text{ PeV}, \sigma = 5.3 \cdot 10^{-31} \text{ cm}^2$)

$$\Phi_{\bar{\nu}_e} < 4.2 \cdot 10^{-20} (\text{cm}^2 \cdot \text{s} \cdot \text{sr} \cdot \text{GeV})^{-1} \text{ (Baikal 2004)}$$

$$\Phi_{\bar{\nu}_e} < 5.0 \cdot 10^{-20} (\text{cm}^2 \cdot \text{s} \cdot \text{sr} \cdot \text{GeV})^{-1} \text{ (AMANDA 2004)}$$

Diffuse Flux Limits + Models



SS - Stecker, Salamon 1996
 SeSi - Semikoz, Sigl 2003

Models/Exp. are rescaled for 3 flavour sum

Search for ν 's correlated with GRBs

BATSE-GRBs vs. HE-Cascades event sample.

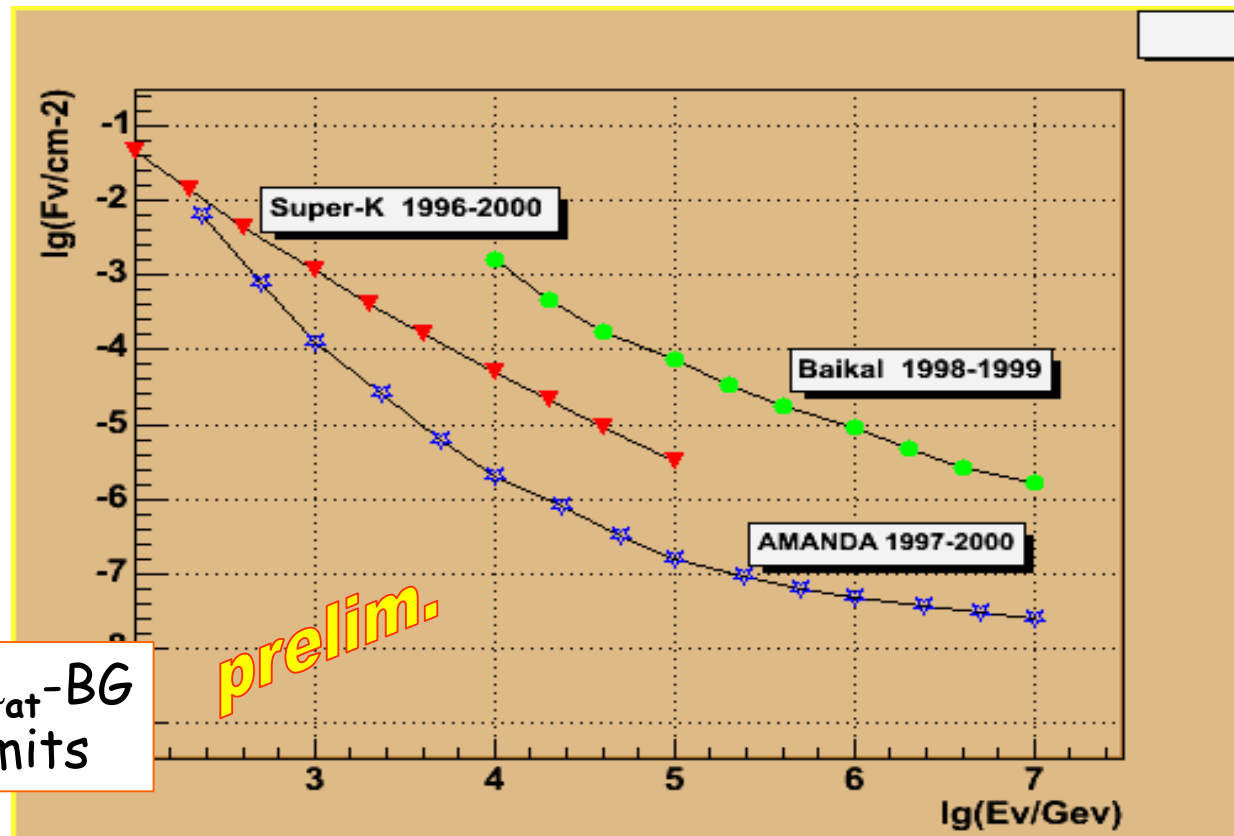
April 1998 - February 2000: $N_{\text{tot}} = 722$ BATSE evts
 NT-200: **CascadeCuts** ($N_{\text{hit}} > 10$ & $t_{\text{min}} > -10$ ns)

& $t_{\text{BATSE}} - 100 \text{ s} < t < t_{\text{BATSE}} + 100 \text{ s}$

N_{Hit}	Triggered GRB		All GRB	
	Signal	Backgr.	Signal	Backgr.
15	91	94	172	167
20	11	13	22	23
25	1	2.8	5	5.2
30	1	0.86	3	1.6
35	0	0.28	1	0.47
40	0	0	0	0.056

GRB - Prospects:

We expect better sensitivity for the muon event sample. Analysis in progress.



Data consistent with expected $\mu_{\text{at}}\text{-BG}$
 \rightarrow 90% C.L. differential flux limits

Prompt atmospheric ν 's and muons

BG source for neutrino telescopes

Source - decays of short-lived particles (Λ , D , ...)

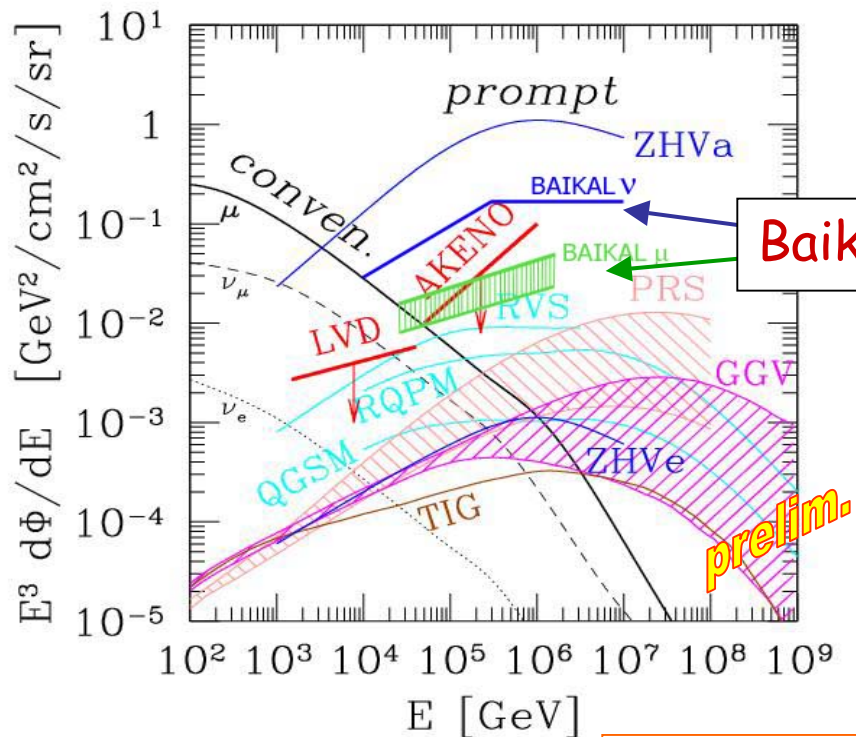
$\Phi_\mu \sim \Phi_\nu$ - isotropic for $E < 10^7$ GeV

Neutrinos - ν_μ, ν_e : cascades (CC, NC)

$$\Phi_\nu = \begin{cases} A_\nu E^{-2.6}, & E < E_b = 3 \cdot 10^5 \text{ GeV} \\ A_\nu E_b^{0.4} E^{-3}, & E > E_b = 3 \cdot 10^5 \text{ GeV} \end{cases}$$

Muons: cascades (e^+e^- , brem, ph.-nucl.)

$$\Phi_\mu = A_\mu E^{-2.6}$$



Baikal limit: $\nu \mu$

Predictions:

ZHV - Zas, Halzen, Vazquez-93

RVS - Ryazhskaya, Volkova, Saavedra-02

QGSM, RQPM - Bugaev et al.-89

TIG - Thunman, Ingelman, Gondolo-96

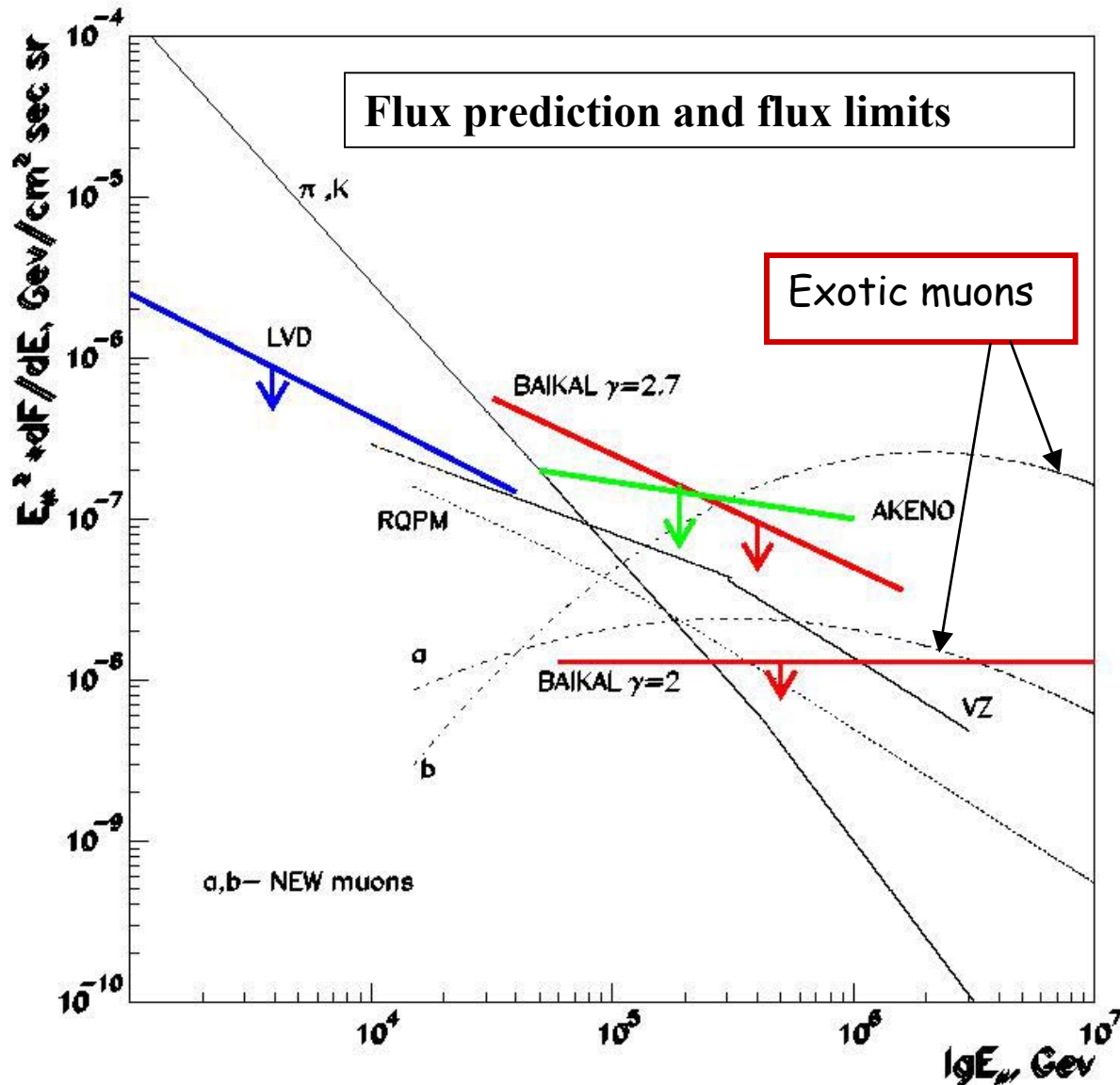
GGV - Gelmini, Gondolo, Varieschi-02

(hep-ph/0209111)

preliminary



Limits to HE Muon Flux: Exotics



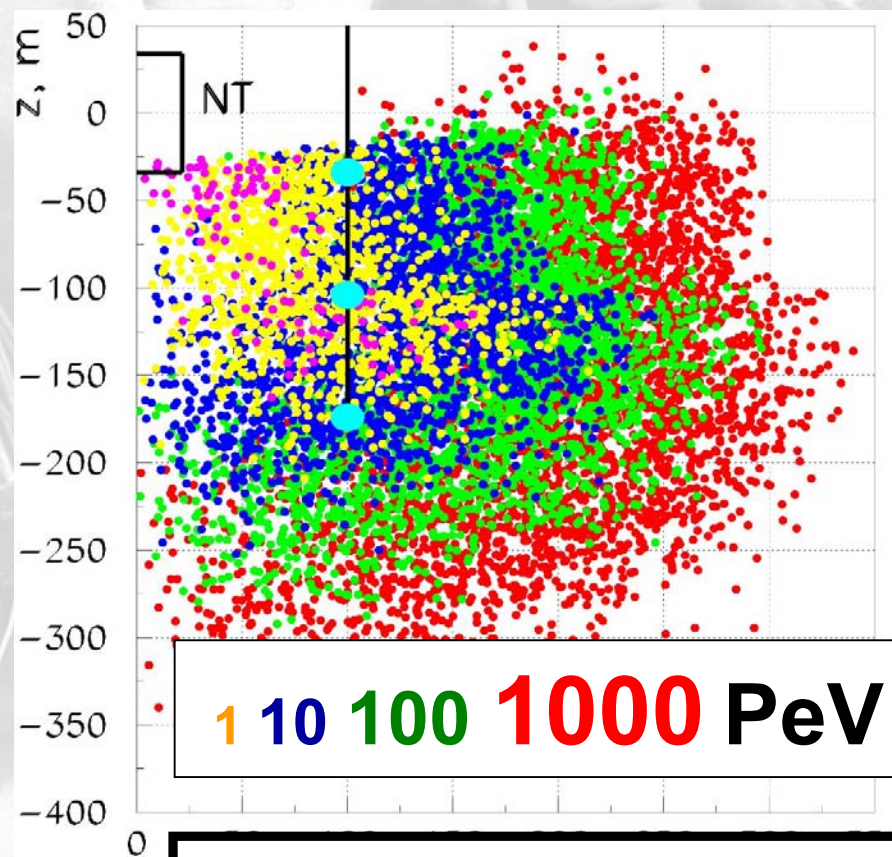
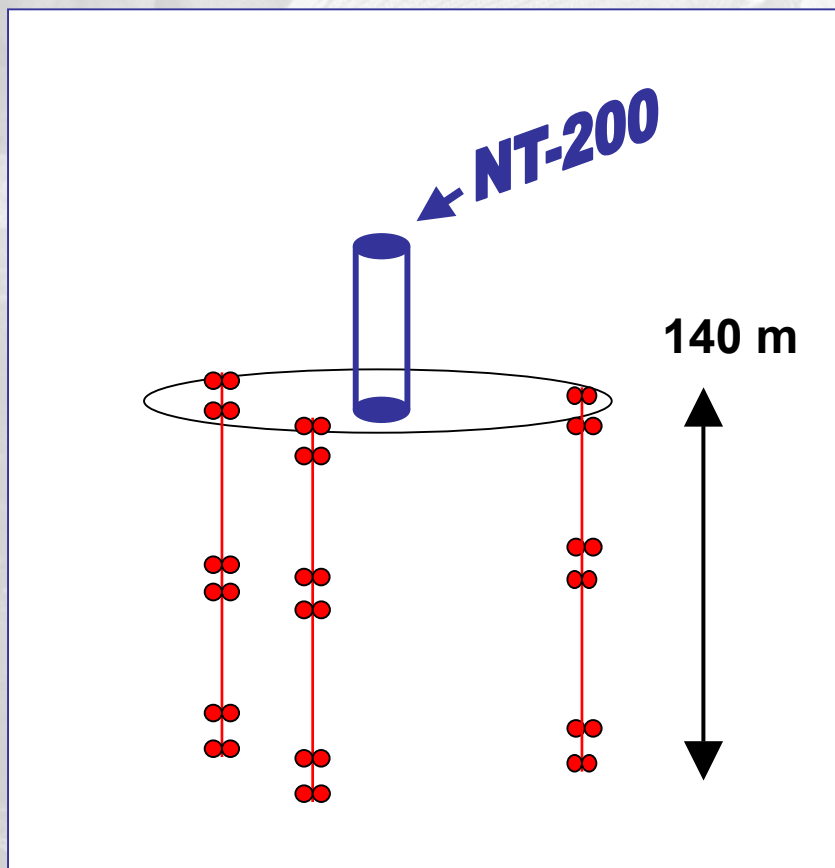
With the *HE Cascade Cuts*:
Any HE muon model spectrum
can be tested.

Trying to rule out:
“Exotic Muon Component”,
as postulated to explain
the CR-knee by “new physics”
at $E_{\text{thr}} \sim 1 \text{ PeV}$ that pump
EAS energy E_0 to exotic muons
(Petrukhin99, curves a and b).

A detailed limit calculation for exotic μ
“predictions” is in progress.
The prel. limit for E^{-2} spectrum shows the
model rejection power.

Upgrade to NT200+

Aim: Improve sensitivity to cascades with sparse instrumentation.



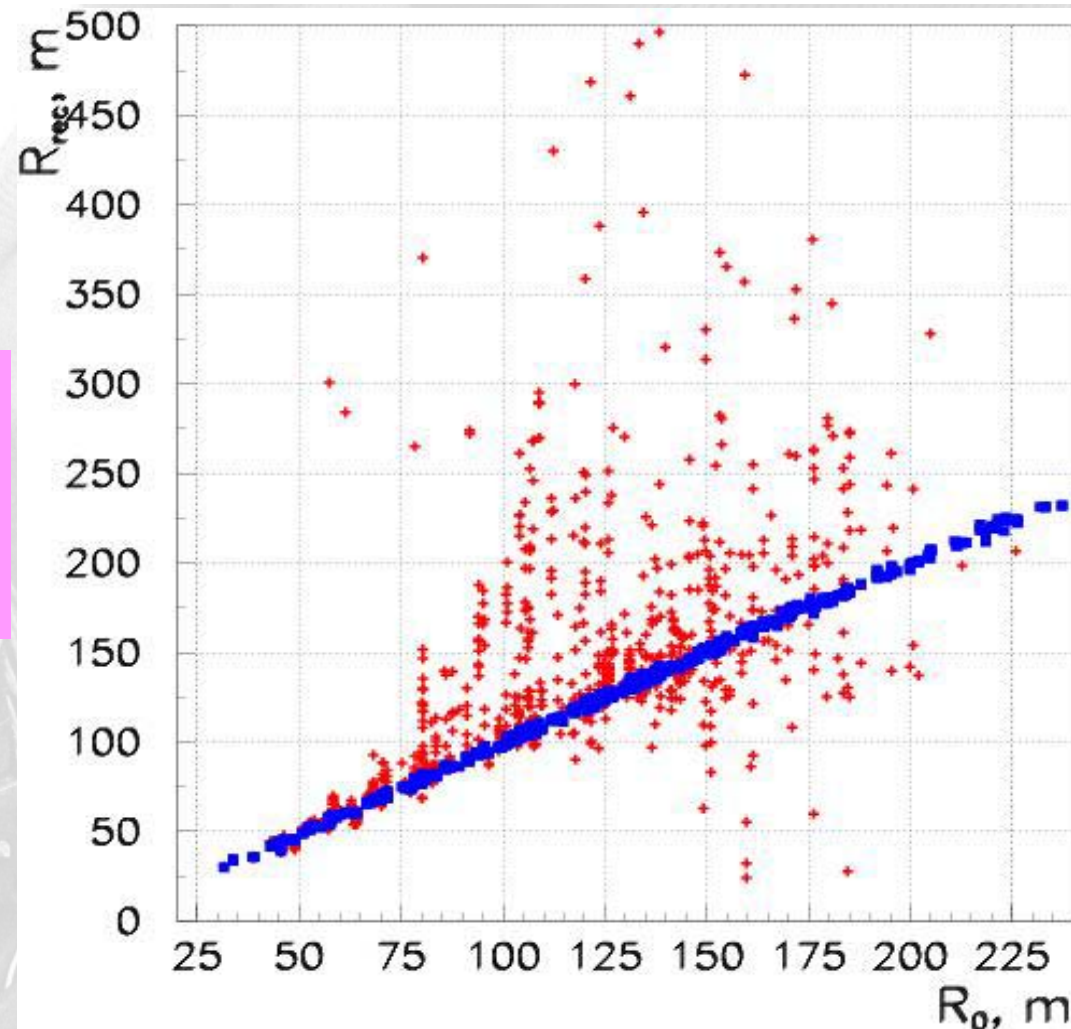
1 10 100 1000 PeV

4 15 23 40 Mton

36 additional PMTs
on 3 far 'strings' → 4 times larger V_{eff} !

NT200+

Much improved cascade coordinates (+ energy) reconstruction.



Expected ν_μ - sensitivity (3 yrs NT200+) :

$$E^2 \Phi_\nu < 0.8 \cdot 10^{-7} \text{ GeV cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$$

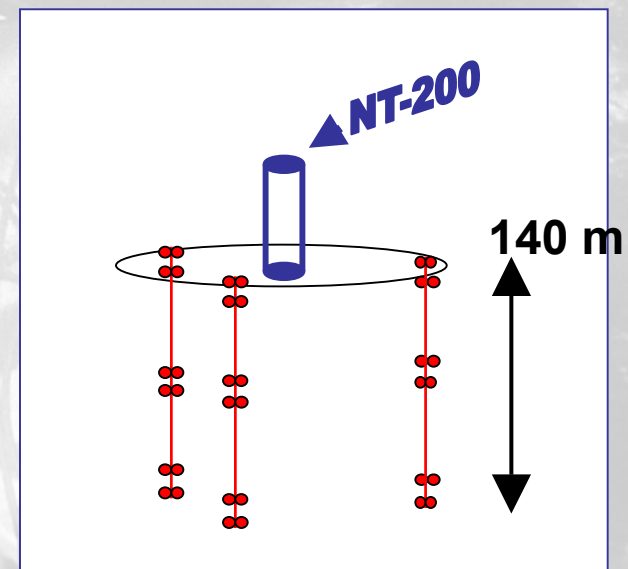
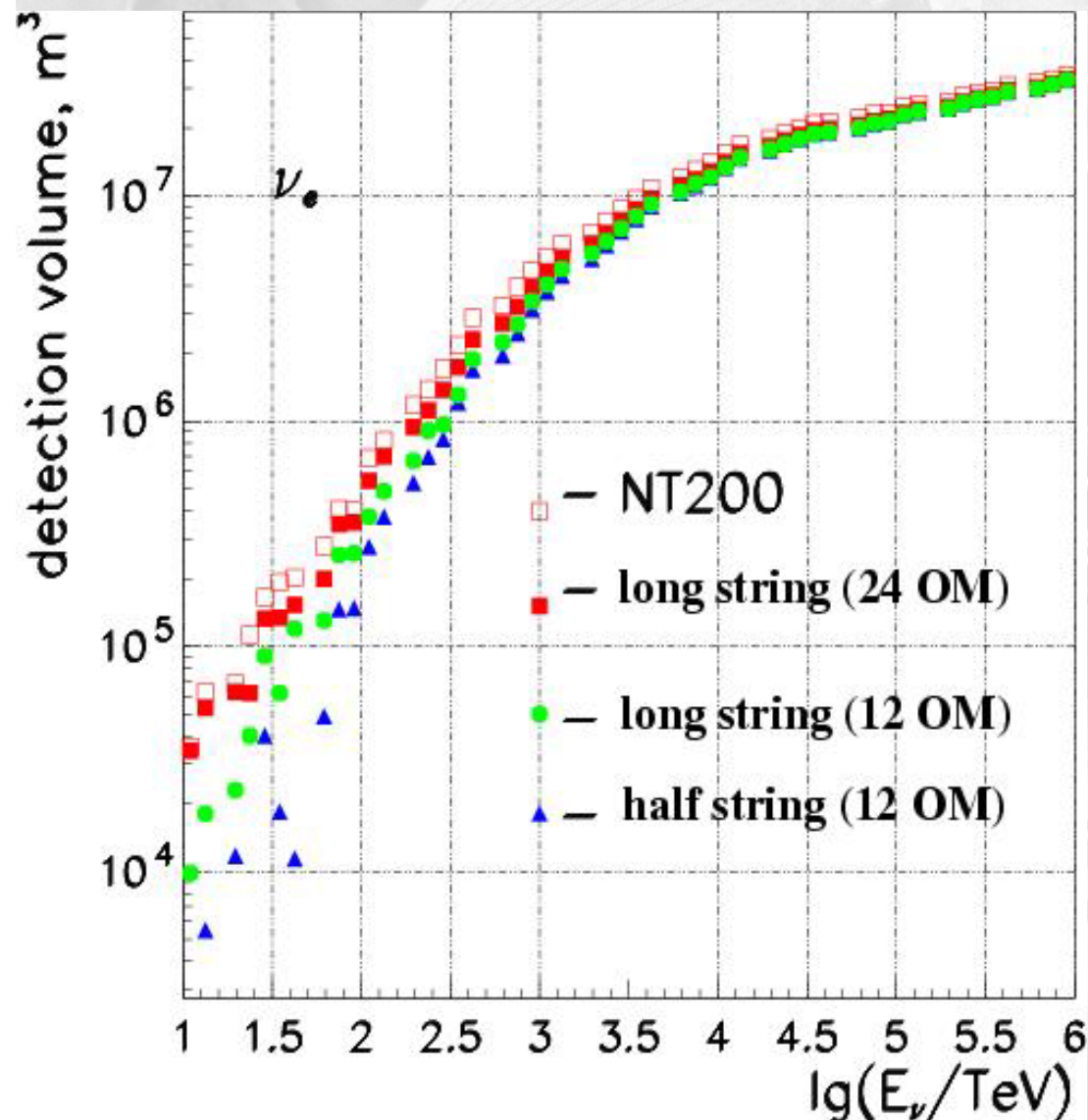
for “classical HE cuts” (vertex + energy reco will improve !!)

NT200+

**NT - 200+ as subunit
for a Gton scale detector ?**

**For High Energy Cascades:
A single small string replacing the
NT-200 central core reduces
 V_{eff} less than x3 for $E > 100 \text{ TeV}$.**

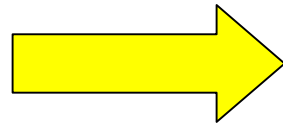
**→ Short strings as a subunit
for a Gton scale detector**



A future Gigaton (km³) Detector in Lake Baikal (?)

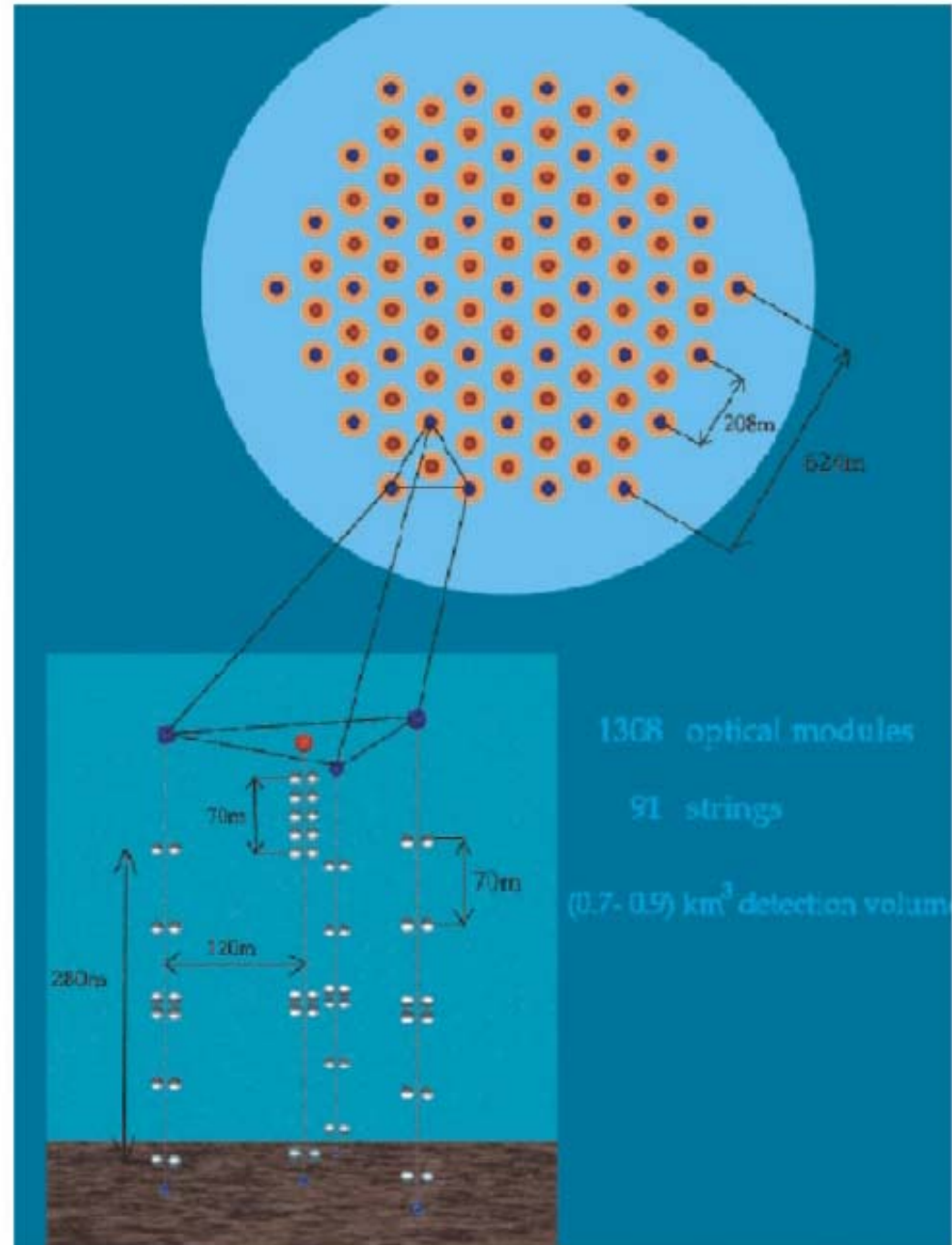
Sparse instrumentation:

91 strings with 12/16 OM
= 1308 OMs



→ effective volume for
100 TeV cascades
~ 0.5 - 1.0 km³

→ muon threshold
between 10 and 100 TeV



DAQ Layout

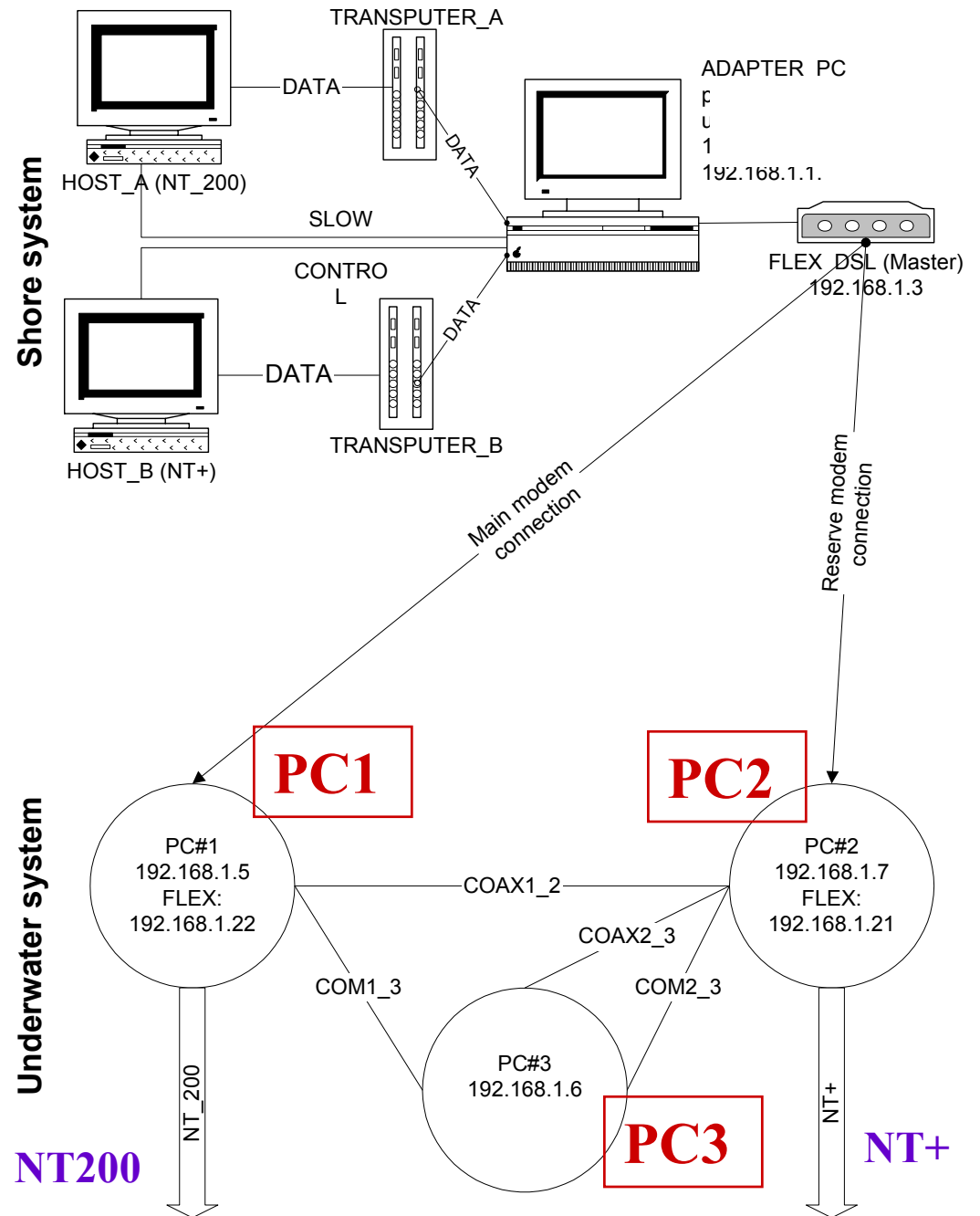
Shore:

2 parallel systems for
NT200 and NT+

UnderWater:

3 additional spheres

- PC1 - NT200; PC2 - NT+
- PC3 - fallback TermServ
- DSL shore connection:
main + spare

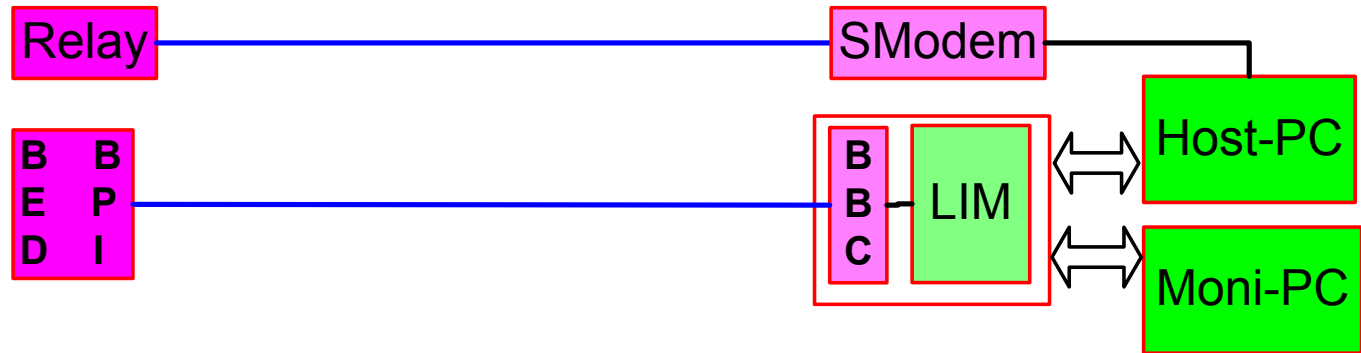


NT200

NT+



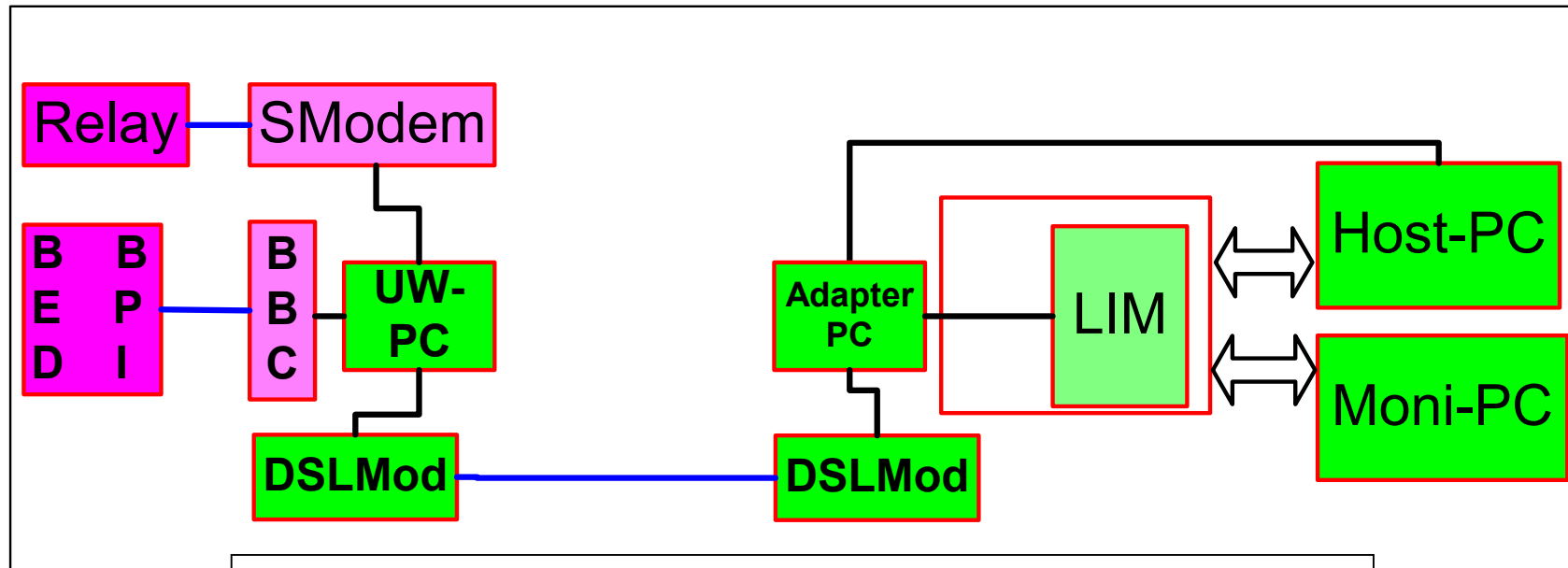
Baikal-DAQ: 1993-2003



Design (1990-92):

- Underwater \leftrightarrow Shore: proprietary slow & data „modems“
- Shore-Computing: DOS-PC + Transputer farm, Moni-PC FTN

2004



- Underwater \leftrightarrow Shore: „Standard“ DSL Line (Ethernet)
- Shore-Computing: DOS-PC + Transputer, Moni-PC FTN

Adapter-PC allows to keep tempor. old shore SW/HW.



NT200+ DAQ-Upgrade, March 2004



- Linux and Ethernet "go underwater" for the new Baikal-DAQ:
 - 3 Baikal-spheres with first PCs ready for deployment (left)
 - Mounting the central trigger/DAQ underwater system (right)

Summary

- The Baikal Telescope is operating since 1993
- Strong in HE-diffuse search: "Mton-detector"
- News (+ larger data sample) from NT200:
Magnetic Monopoles, WIMPs, HE atm. μ (prompt; exotic), GRB-limits
- Sensitivity comparable to Amanda-II
- Complementary to Amanda (northern sky, optical prop.,
pattern-reco, ...)
- Aggressive upgrade to NT200+ in 2004/5:
 - improve the HE cascade sensitivity by 2...4 for PeV...EeV
 - serious improvement: Mbit + Ethernet/CPU now underwater + RemoteC
- Ideas for future: Gigaton Volume Detector (km³)

BAIKAL will for a few years remain the largest
(and only) Northern hemisphere Underwater
Cerenkov Telescope

